



Estimating the effect of operational loading conditions from ultrasonic guided wave measurements using an iterated Unscented Kalman Filter

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- **Context:**

- Modeling and Simulation for NDT, in particular SHM.
- SHM: Environmental and Operational conditions (EOCs).

Mechanical loading conditions *in situ*.

Gorgin et al. 2020

- **Colloque GdR MecaWave 2021 (direct model):**

Combining shell elements and transient spectral finite elements for guided wave propagation problems in prestressed thin structures.

- **Objective:**

Propose an inverse strategy to reconstruct loading conditions using ultrasonic guided waves measurements.

- Direct model: Linearized elastodynamic model solved using 3D Spectral Finite Elements.
- Inversion: Iterated Unscented Kalman Filtering.

Dalmora et al. 2022



- The pipe is subjected to a force of 220kN which oscillates up to 2Hz.
- A weld with a defect is located between the transducer rings.
- The effect of prestresses significantly reduced the probability of detection of the defect.

Test stand of 4-point bending tests at IMA Dresden GmbH within the project QuantSHM (funding code 100207022) which was funded by the federal state of Saxony via the Sächsische Aufbaubank. (Image provided by Fraunhofer IKTS, a partner in the GW4SHM project.)

Input



Mechanical loading
causing deformation
+ Ultrasonic Source

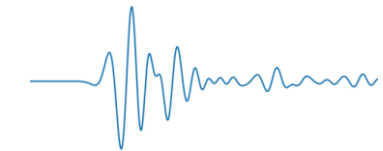
Direct Model

Output



Wavefield

Inversion Algorithm



Measurements by sparse
ultrasonic transducers



Estimation of the
deformation

Input



Mechanical loading
causing deformation
+ Ultrasonic Source



Measurements by sparse
ultrasonic transducers



Direct Model



Output



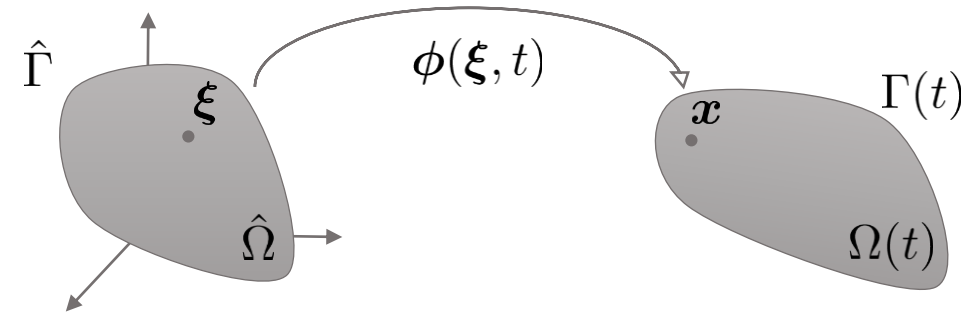
Wavefield



Inversion Algorithm



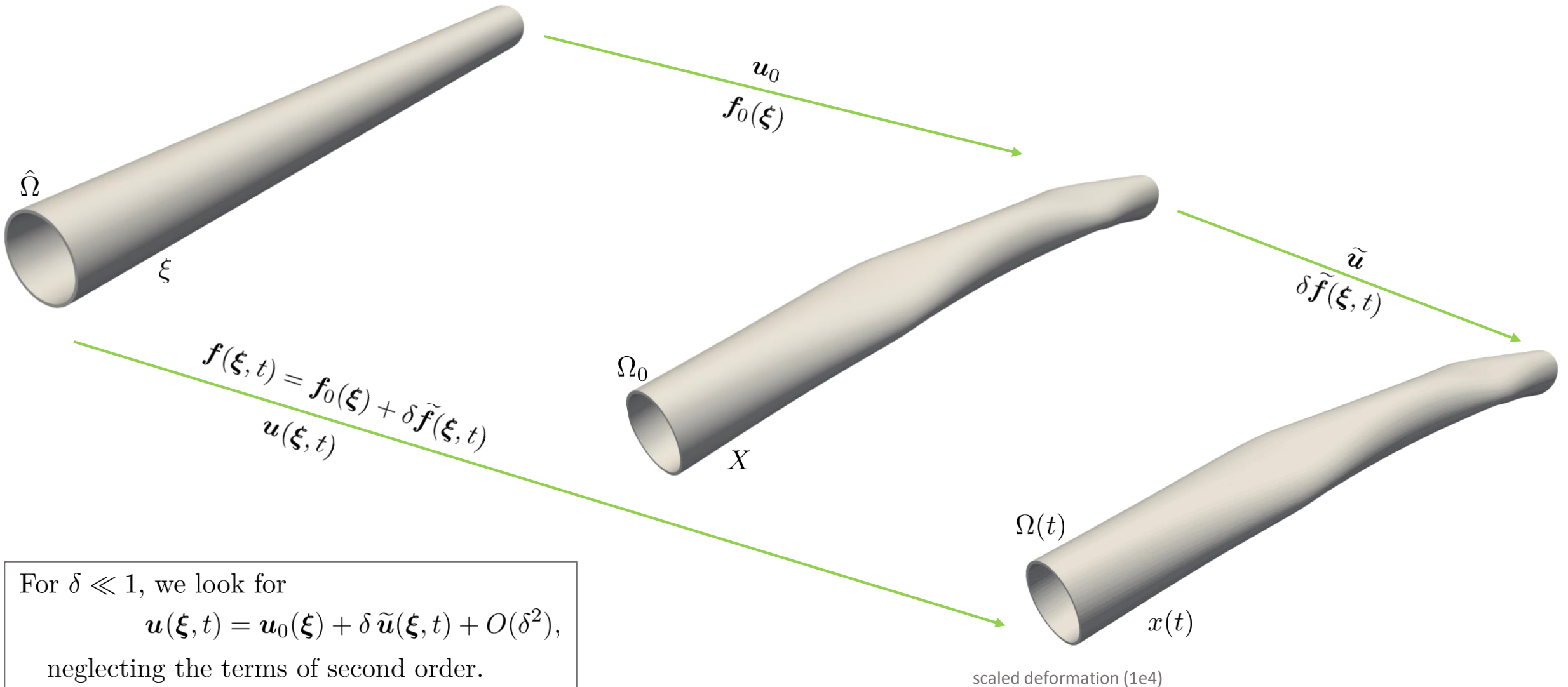
Estimation of the
deformation



Starting from the nonlinear elastodynamics in its strong formulation

$$\begin{cases} \rho \frac{\partial^2 \mathbf{u}}{\partial t^2} - \nabla_{\mathbf{x}} \cdot \boldsymbol{\sigma} = \rho \mathbf{f} & \text{on } \Omega(t), \\ \boldsymbol{\sigma} = \mathbf{G}(\mathbf{e}) & \text{(hyperelastic),} \\ \mathbf{u} = 0 & \text{on } \Gamma^D, \end{cases} \quad \text{with} \quad \mathbf{F} = \nabla_{\xi} \phi, \quad \mathbf{C} = \mathbf{F}^T \mathbf{F}, \quad \mathbf{e}(\mathbf{u}) = \frac{1}{2}(\mathbf{C} - \mathbf{1}).$$

Method: Knowing that the associated forces differ in their natures, we decompose the problem in two sub-problems



Weak formulation

$$\forall w \in \mathcal{V}(\hat{\Omega}),$$

$$\frac{d^2}{dt^2} m[u, w] + a(u)[w] = l[w]$$

$$\begin{cases} m[u, w] = \int_{\hat{\Omega}} \hat{\rho} \mathbf{u} \cdot \mathbf{w} d\hat{\Omega}, \\ a(u)[w] = \int_{\hat{\Omega}} \boldsymbol{\Sigma}(\mathbf{e}) : D_{\mathbf{u}} \mathbf{e}(\mathbf{u})[w] d\hat{\Omega}, \\ l[w] = \int_{\hat{\Omega}} \hat{\rho} \mathbf{f} \cdot \mathbf{w} d\hat{\Omega}. \end{cases}$$

+

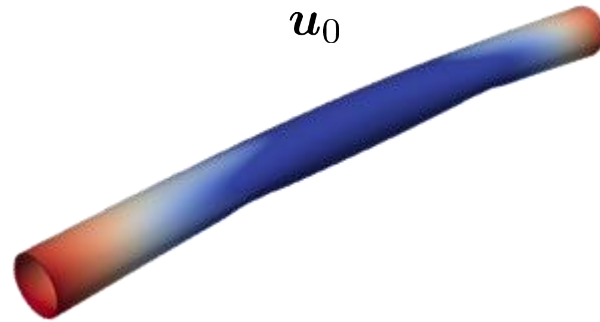
Linearization

$$\mathbf{f}(\boldsymbol{\xi}, t) = \mathbf{f}_0(\boldsymbol{\xi}) + \delta \tilde{\mathbf{f}}(\boldsymbol{\xi}, t)$$

$$\mathbf{u}(\boldsymbol{\xi}, t) = \mathbf{u}_0(\boldsymbol{\xi}) + \delta \tilde{\mathbf{u}}(\boldsymbol{\xi}, t) + O(\delta^2)$$

+ separating terms in δ .

Structural deformation: Nonlinear and static.



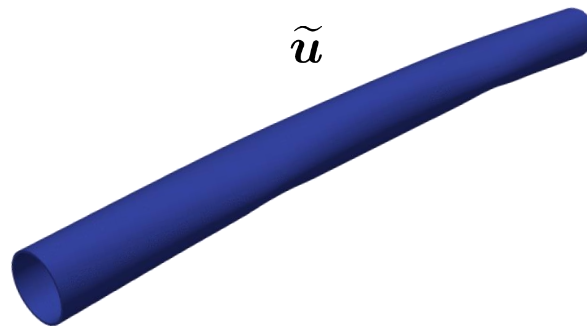
$$a(u_0)[w] = l_0(w)$$

- 3D Shell Finite Elements, avoiding numerical locking in thin structures.

Chapelle and Bathe 2011

- **MoReFEM** code (MEDISIM - Inria team)

Wave propagation: Linearized and high frequency.



$$\frac{d^2}{dt^2} m[\tilde{u}, w] + D_{\mathbf{u}} a(u_0)[\tilde{u}, w] = \tilde{l}[w]$$

- Time domain (Explicit Leap Frog).
- SFEM kernel **CIVA**(CEA)

Maday and Patera. 1989 ; Cohen 2002

Operators

$$a(u_0)[w] = \int_{\hat{\Omega}} \boldsymbol{\Sigma}(\mathbf{e}_0) : D_{\mathbf{u}} \mathbf{e}(\mathbf{u}_0)[w] d\hat{\Omega}$$

$$l_0[w] = \int_{\hat{\Omega}} \hat{\rho} \mathbf{f}_0 \cdot \mathbf{w} d\hat{\Omega}.$$

$$m[\tilde{u}, w] = \int_{\hat{\Omega}} \hat{\rho} \tilde{\mathbf{u}} \cdot \mathbf{w} d\hat{\Omega},$$

$$D_{\mathbf{u}} a(u_0)[\tilde{u}, w] = \int_{\hat{\Omega}} D_{\mathbf{u}} \mathbf{e}(\mathbf{u}_0)[\tilde{u}] : D_{\mathbf{e}} \boldsymbol{\Sigma}(\mathbf{e}_0) : D_{\mathbf{u}} \mathbf{e}(\mathbf{u}_0)[w] d\hat{\Omega} + \int_{\hat{\Omega}} \boldsymbol{\Sigma}(\mathbf{e}_0) : D_{\mathbf{u}}^2 \mathbf{e}[\tilde{u}, w] d\hat{\Omega},$$

$$\tilde{l}[w] = \int_{\hat{\Omega}} \hat{\rho} \tilde{\mathbf{f}} \cdot \mathbf{w} d\hat{\Omega}.$$

Remark on implementation

$$\forall w \in \mathcal{V}(\hat{\Omega}), \quad \frac{d^2}{dt^2} m[\tilde{u}, w] + D_u a(u_0)[\tilde{u}, w] = \tilde{l}[w]$$

Discretizing by conform FE we have

$$\frac{d^2}{dt^2} \mathbb{M} \vec{u} + \mathbb{K}'(u_0) \vec{u} = \vec{f}$$

Using a leapfrog time scheme

$$\vec{u}^{n+1} = -\Delta t^2 \mathbb{M}^{-1} \mathbb{K}'(u_0) \vec{u}^n + \Delta t^2 \mathbb{M}^{-1} \vec{f} + 2\vec{u}^n - \vec{u}^{n-1},$$



Lumped mass

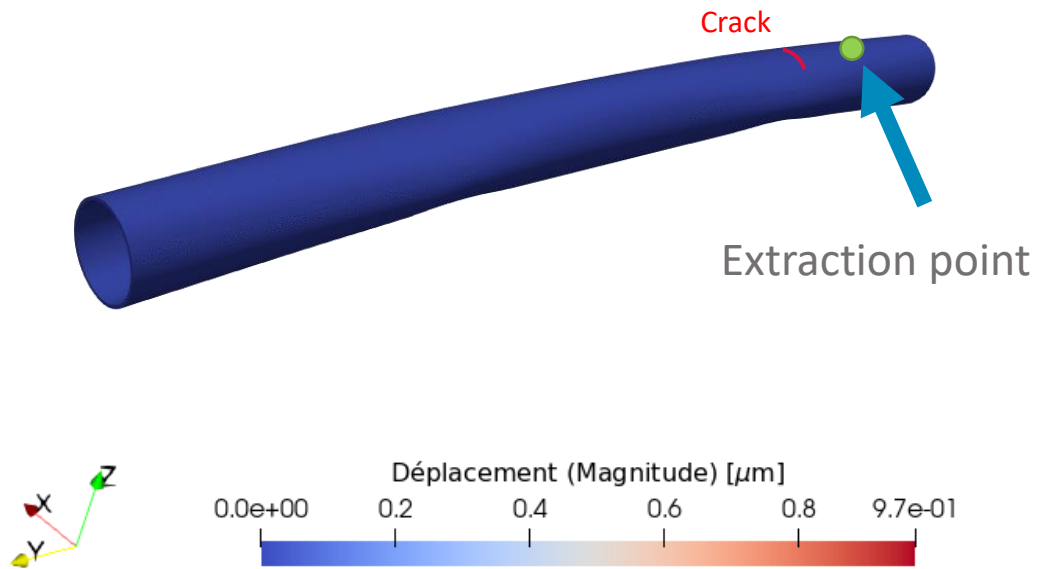


Parallelized non-assembled operation

Important aspects:

- Solving for **arbitrary hyperelastic constitutive law, geometry and mechanical loading**.
- High efficiency due to lumped-mass and parallelization.
- Non-assembled stiffness: low memory usage and inexpensive “parameter” update.
- Stability: operator non-negativeness must be assured.
- Strategy **validated** with experimental data.

Wave propagation

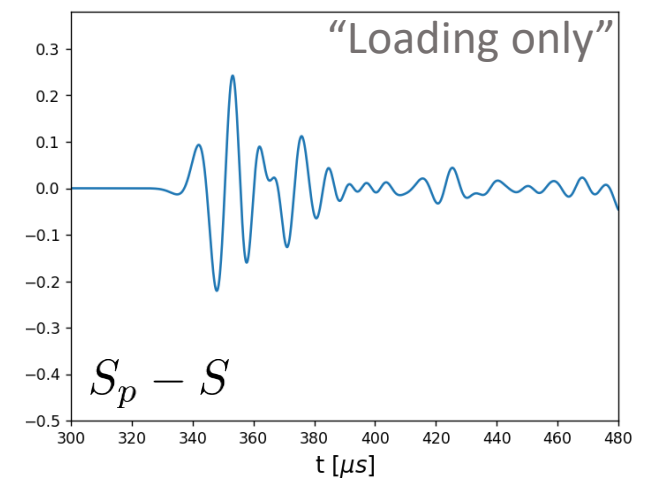
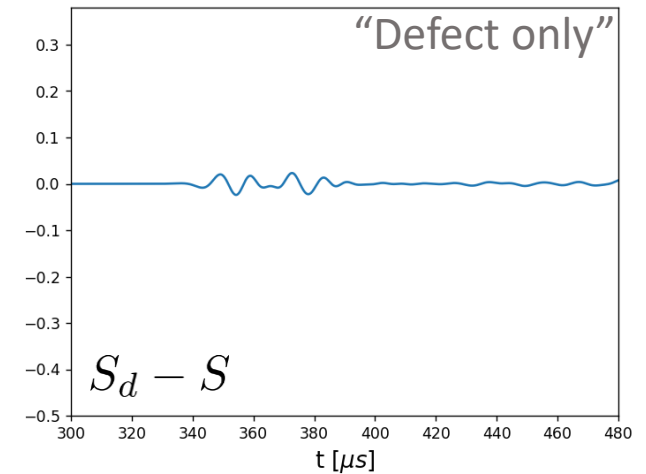


The effect of loading on wave propagation is evaluated numerically and compared with the effect of a defect.

Considering the extracted simulated signals

- S : non deformed pipe (baseline; no defects).
- S_p : deformed pipe (without defect).
- S_d : pipe with defect (without deformation).

Effect of the defect vs prestresses



Input



Mechanical loading
causing deformation
+ Ultrasonic Source



Measurements by sparse
ultrasonic transducers



Direct Model



Output



Wavefield



Inversion Algorithm



Estimation of the
deformation

Reconstructing loading deformation by means of limited ultrasonic measurements.

Direct model:
$$\frac{d^2}{dt^2} m[\tilde{u}, w] + D_u a(u_0)[\tilde{u}, w] = \tilde{l}(w)$$

Objective: reconstruct u_0 using limited information over \tilde{u} .

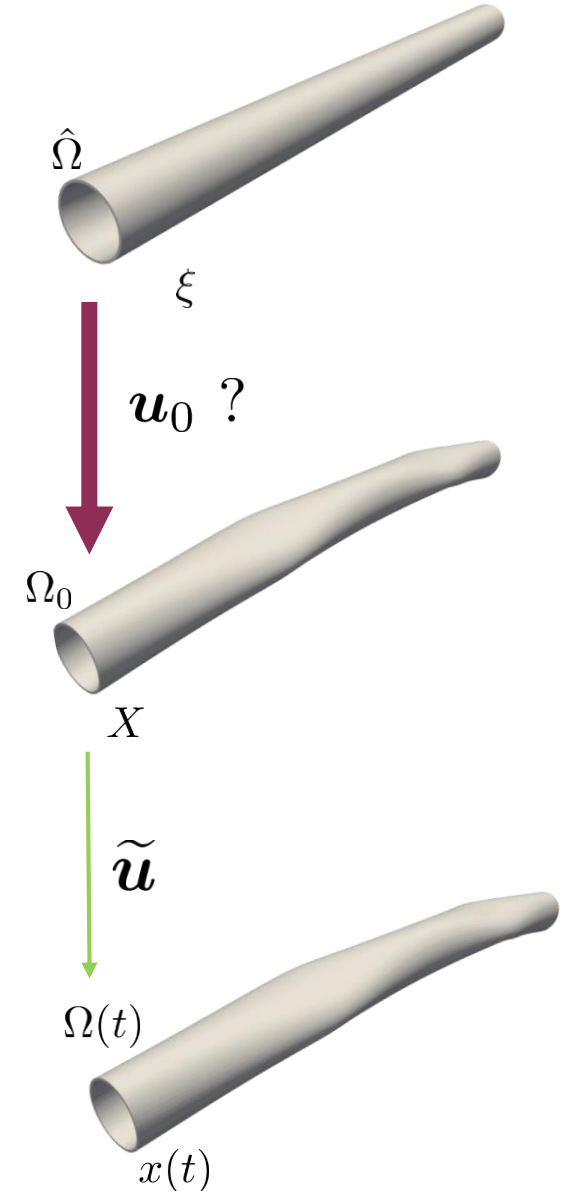
We interpret the inverse problem as minimizing a least-squares misfit between measurements and data generated from the model.

Functional:

$$\mathcal{J}(u_0) = \min_{u_0} \frac{1}{2} \left\{ \|u_0\|_{\mathbb{P}^{u_0}}^2 + \frac{1}{N_s} \sum_i^{N_s} \int_0^T \|y(t) - C_i(\tilde{u}|_{u_0}(t))\|_{\mathbb{S}}^2 dt \right\}.$$

number of measured signals $\rightarrow N_s$
 measurements $\rightarrow y(t)$
 Regularization norm $\rightarrow \|u_0\|_{\mathbb{P}^{u_0}}^2$
 observation operator $\rightarrow C_i$
 wavefield generated for the deformation u_0 $\rightarrow \tilde{u}|_{u_0}(t)$

It represents a nonlinear minimization problem.



Direct model:
$$\frac{d^2}{dt^2} m[\tilde{u}, w] + D_u a(u_0)[\tilde{u}, w] = \tilde{l}[w]$$

Dependency on u_0 (assuming know constitutive law and parameters):

$$D_u a(u_0)[\tilde{u}, w] = \int_{\hat{\Omega}} \underbrace{D_u e(\mathbf{u}_0)[\tilde{u}] : D_e \Sigma(\mathbf{e}_0) : D_u e(\mathbf{u}_0)[w]}_{\text{"}\varepsilon(\tilde{u}) : \mathbf{C} : \varepsilon(w)\text{"}} d\hat{\Omega} + \int_{\hat{\Omega}} \underbrace{\Sigma(\mathbf{e}_0) : D_u^2 e[\tilde{u}, w]}_{\text{geometrical nonlinearity}} d\hat{\Omega}$$

Through the strain tensor and the stress tensor (for an arbitrary hyperelastic law):

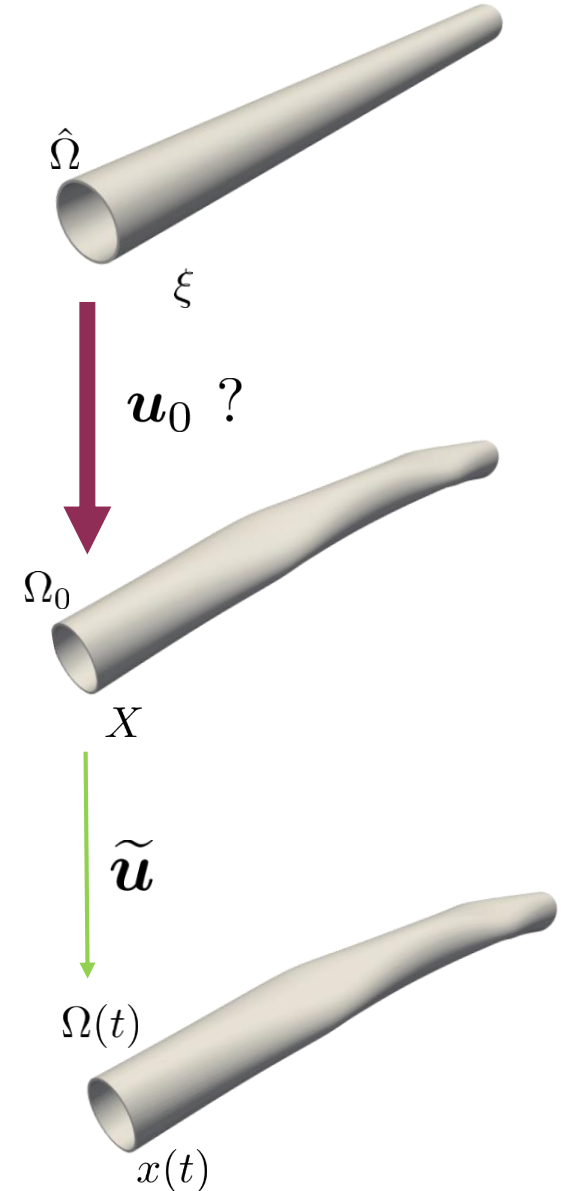
$$D_e \Sigma = D_e^2 W = 4 \sum_{i=1}^n \frac{\partial W}{\partial I_i} \frac{\partial^2 I_i}{\partial \mathbf{C} \partial \mathbf{C}} + 4 \sum_{i,j=1}^n \frac{\partial^2 W}{\partial I_i \partial I_j} \frac{\partial I_i}{\partial \mathbf{C}} \otimes \frac{\partial I_j}{\partial \mathbf{C}}$$

Descent methods such as Full Waveform Inversion requires the tangent dynamics for computing an adjoint model. [Virieux et al. 2014](#)

Further differentiation of our direct model would require unwieldy operators as

$$D_e^3 W = 8 \sum_{i,j,k=1}^n \left[\frac{\partial^3 W}{\partial I_i \partial I_j \partial I_k} \frac{\partial I_i}{\partial \mathbf{C}} \otimes \frac{\partial I_j}{\partial \mathbf{C}} \otimes \frac{\partial I_k}{\partial \mathbf{C}} \right] + 8 \sum_{i,j,k=1}^n \frac{\partial^2 W}{\partial I_i \partial I_j} \left[\frac{\partial^2 I_i}{\partial \mathbf{C} \partial \mathbf{C}} \otimes \frac{\partial I_j}{\partial \mathbf{C}} + \dots \right].$$

Derivative-free methods are preferred.



Direct model:
$$\frac{d^2}{dt^2}m[\tilde{u}, w] + D_u a(u_0)[\tilde{u}, w] = \tilde{l}[w]$$

The deformation sought u_0 is the result of a mechanical loading / solution of a quasi-static nonlinear problem

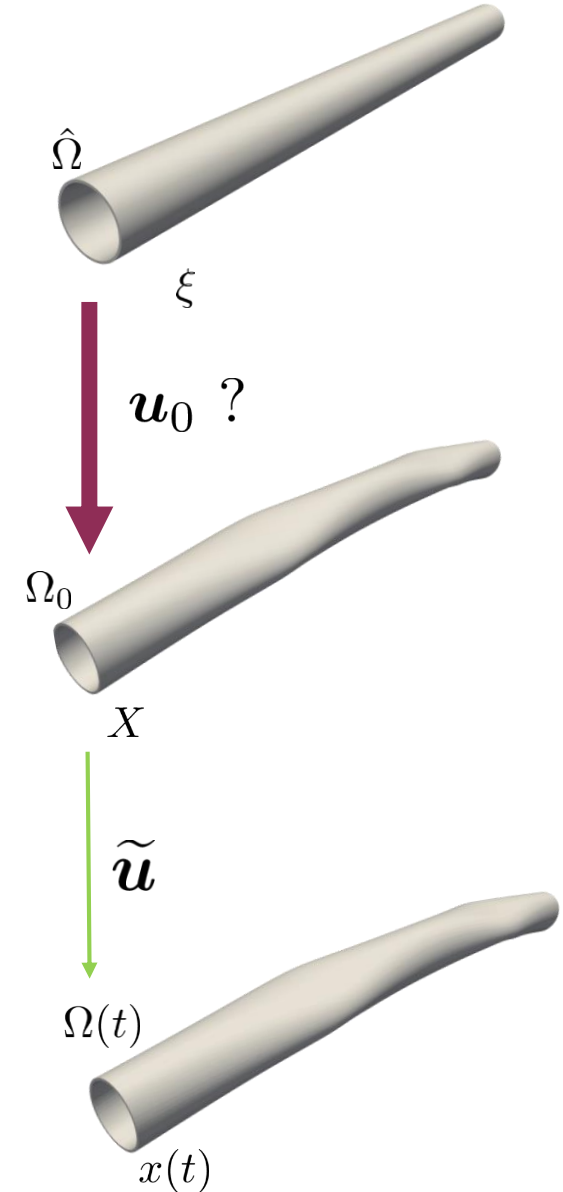
$$a(u_0)[w] = l_0(w).$$

Decomposing the deformation with the eigenmodes of $a(0)$

$$\mathbf{u}_0(\boldsymbol{\xi}) = \sum_{i=1}^{N_\theta} u_{i,0} \boldsymbol{\Psi}_i(\boldsymbol{\xi})$$

we can significantly reduce the size of the parametric space to be reconstructed by reconstructing the coefficients $u_0^{(i)}$ with $N_\theta \ll \text{Number of DoFs}$.

The parametric space is reduced and a selection of eigenmodes can be made from a first guess or sensitivity analysis.



Variational Method

$$\begin{cases} \frac{d}{dt}p(t) + D_u A^* p(t) = -D_u C^* (y(t) - C(\tilde{u}(t))), \\ p(T) = 0, \end{cases}$$

$$D\mathcal{J}(u_0) = \mathbb{P}_{u_0}^{-1}u_0 - p(0).$$

Sequential Method

$$\begin{cases} \frac{d}{dt}\hat{z}(t) = A(\hat{z}(t)) + G(t)(y(t) - C(\tilde{u}(t))), \\ \hat{z}(0) = z_0, \end{cases}$$

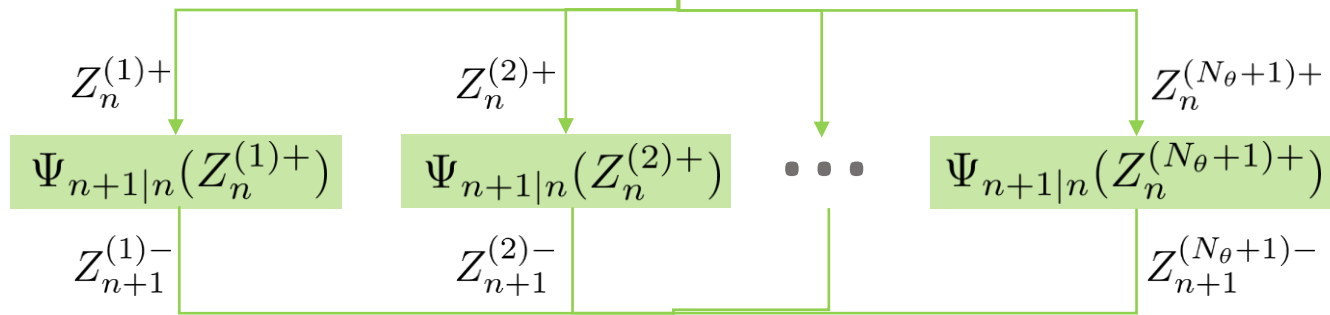
$$z(T)$$

- With a reduced parametric space, the **Reduced Order Unscented Kalman Filter (ROUKF)** was chosen as the derivative-free method.
- Seem as a derivative-free version of the Extended Kalman Filter, where the propagation of the covariance is done empirically instead of applying the tangent dynamics.

Sampling:

$$Z_n^{(i)+} = Z_n^+ + L_n \sqrt{U_n^{-1}} I^{(i)} \quad i \in [1, N_\theta + 1]$$

Prediction:



Correction:

$$\begin{aligned} Z_{n+1}^- &= E_\alpha(Z_{n+1}^{(i)-}) \\ L_{n+1} &= [Z_{n+1}^{*-}] D_\alpha [I^*]^\top \\ U_{n+1} &= \mathbf{1} + (CL)_{n+1}^* (CL)_{n+1} \\ Z_{n+1}^+ &= Z_{n+1}^- \\ &\quad + L_{n+1} U_{n+1}^{-1} (CL)_{n+1}^* (y_{n+1} - CE_\alpha(Z_{n+1}^{*+})) \end{aligned}$$

Extended state:

$$Z_n = \begin{pmatrix} \tilde{u}_n \\ \tilde{v}_n \\ \vec{u}_{0,n} \end{pmatrix}$$

Forward operator:

$$\Psi_{n+1|n}(Z_n)$$

Covariance:

$$U^{-1}$$

Particles matrix:

$$[Z_n^*] = \begin{pmatrix} Z_n^{(1)} & Z_n^{(2)} & \dots & Z_n^{(N_\theta+1)} \end{pmatrix}$$

Sigma points and weights matrix:

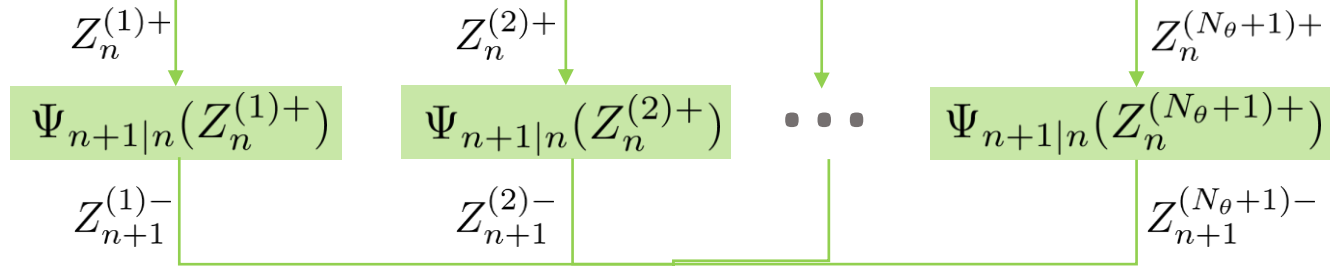
$$[I^*] \quad D_\alpha = \begin{pmatrix} \alpha_1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & \alpha_{N_\theta+1} \end{pmatrix}$$

Sampling:

$$Z_n^{(i)+} = Z_n^+ + L_n \sqrt{U_n^{-1}} I^{(i)}$$

$$i \in [1, N_\theta + 1]$$

Prediction:



Correction:

$$\begin{aligned} Z_{n+1}^- &= E_\alpha(Z_{n+1}^{(i)-}) \\ L_{n+1} &= [Z_{n+1}^{*-}] D_\alpha [I^*]^\top \\ U_{n+1} &= \mathbf{1} + (CL)_{n+1}^* (CL)_{n+1} \\ Z_{n+1}^+ &= Z_{n+1}^- \\ &\quad + L_{n+1} U_{n+1}^{-1} (CL)_{n+1}^* (y_{n+1} - CE_\alpha(Z_{n+1}^{*+})) \end{aligned}$$

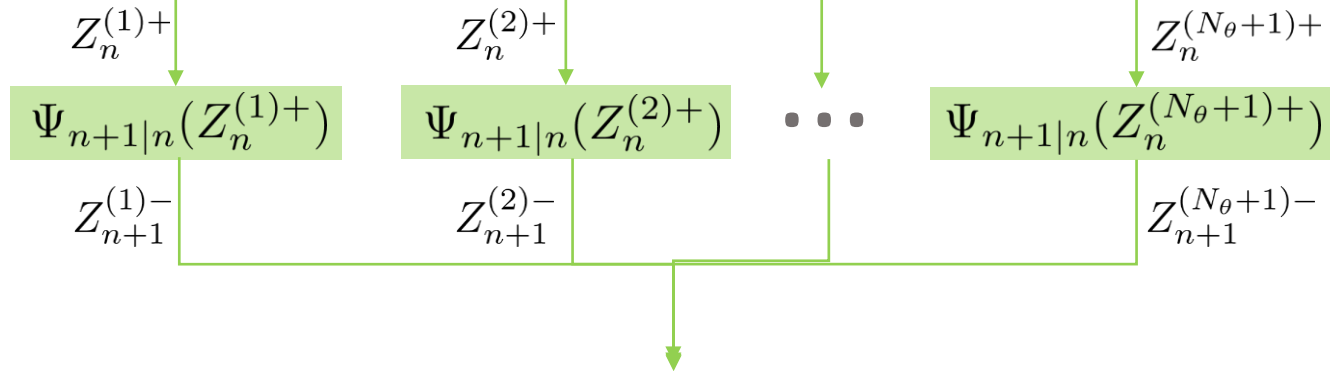
- Uncertainty only in u_0 .
- Iteration by time-step.
- Particles are evaluated in parallel.
- Propagates the covariance.

Sampling:

$$Z_n^{(i)+} = Z_n^+ + L_n \sqrt{U_n^{-1}} I^{(i)}$$

$$i \in [1, N_\theta + 1]$$

Prediction:



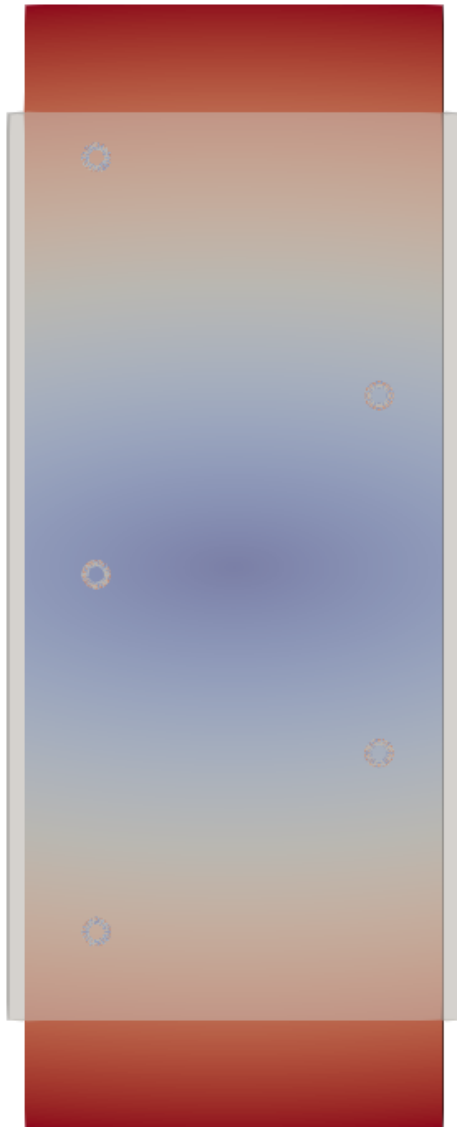
Correction:

$$\begin{aligned} Z_{n+1}^- &= E_\alpha(Z_{n+1}^{(i)-}) \\ L_{n+1} &= [Z_{n+1}^{*-}] D_\alpha [I^*]^\top \\ U_{n+1} &= \mathbf{1} + (CL)_{n+1}^* (CL)_{n+1} \\ Z_{n+1}^+ &= Z_{n+1}^- \\ &\quad + L_{n+1} U_{n+1}^{-1} (CL)_{n+1}^* (y_{n+1} - CE_\alpha(Z_{n+1}^{*+})) \end{aligned}$$

- Eigenmodes are stored and the non-assembled aspect allows inexpensive change of parameter.
- Attention must be given to the range explored by the particles to assure stability. This is done when choosing the initial covariance.

$$U_0 = \mathbb{P}_{u_0}$$

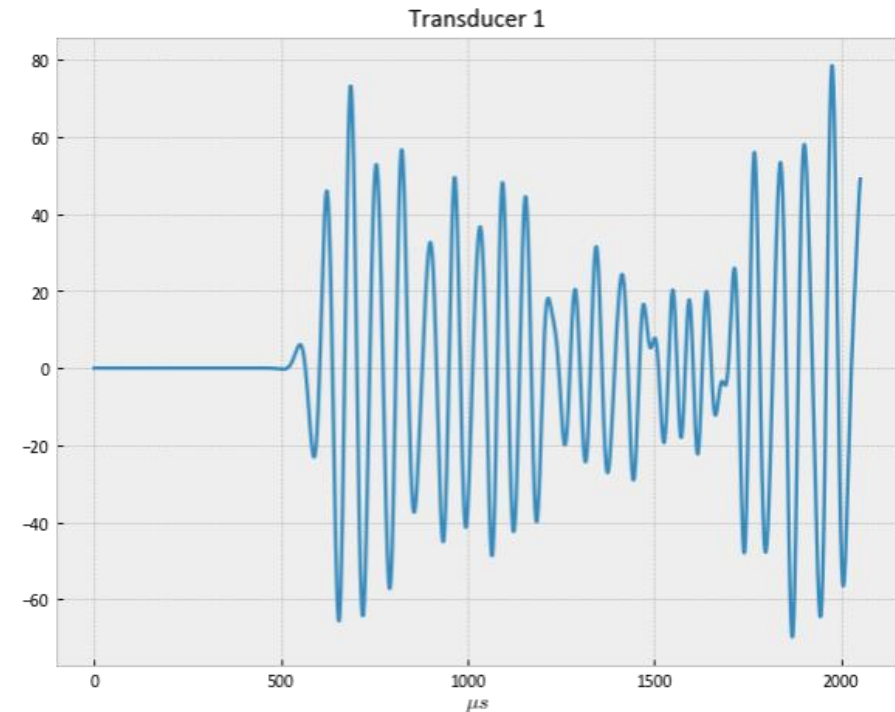
The initial components covariance of the set of eigenmodes are chosen from a u_0 *a priori*.



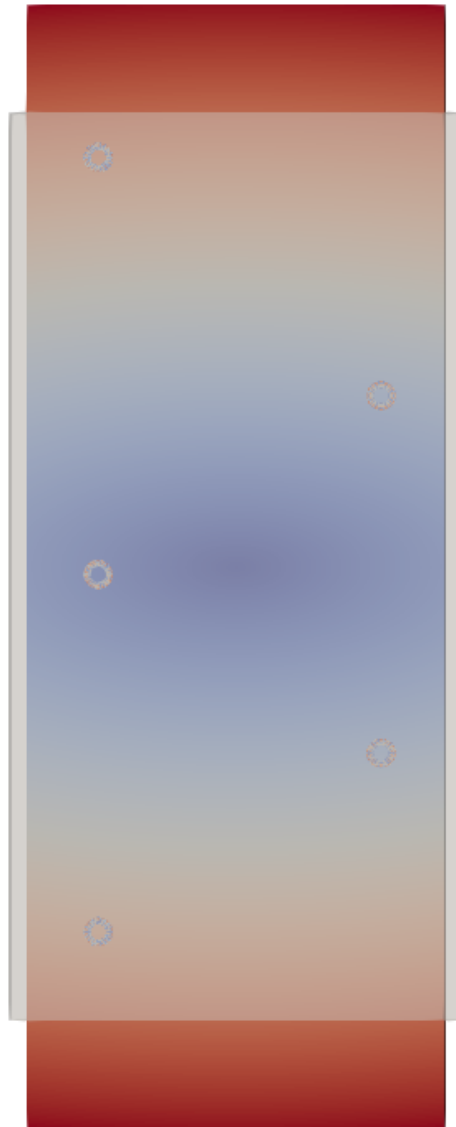
Transducers, natural state and target deformed state.
(300x deformation scale)

- Dimensions: 600 x 300 x 6.35 mm
- Measurements: 5 integration patches (radial).
- Excitation: 200kHz 5-cycles.
- Noise: 0.1%
- 180 μ s simulation time.
- 120 Smallest eigenvalues pairs computed.

Example of measured signal:



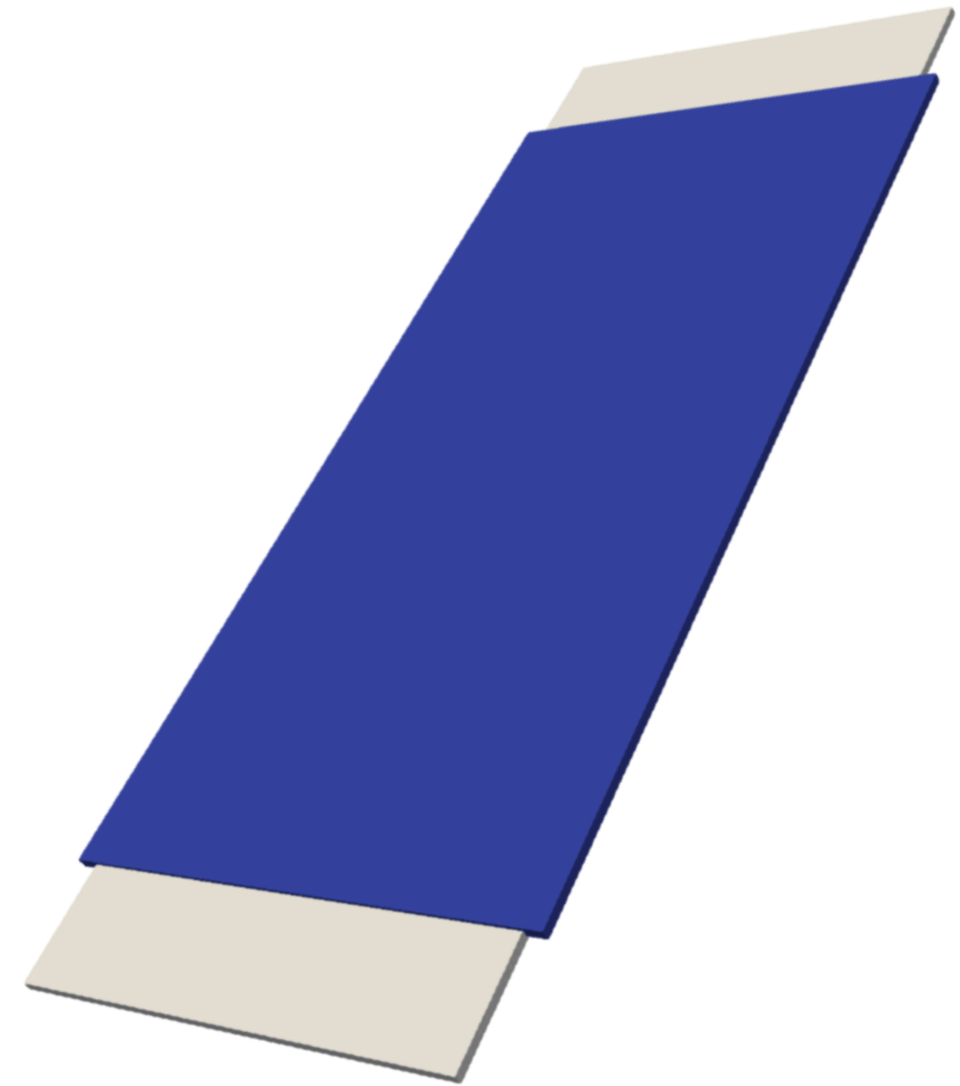
Obs. operator:
$$C_i(\tilde{\mathbf{u}}(t)) = \int_{\Gamma_i} \tilde{\mathbf{u}}(t) \cdot \mathbf{s}_i \, d\Gamma, \quad i \in [1, 5]$$



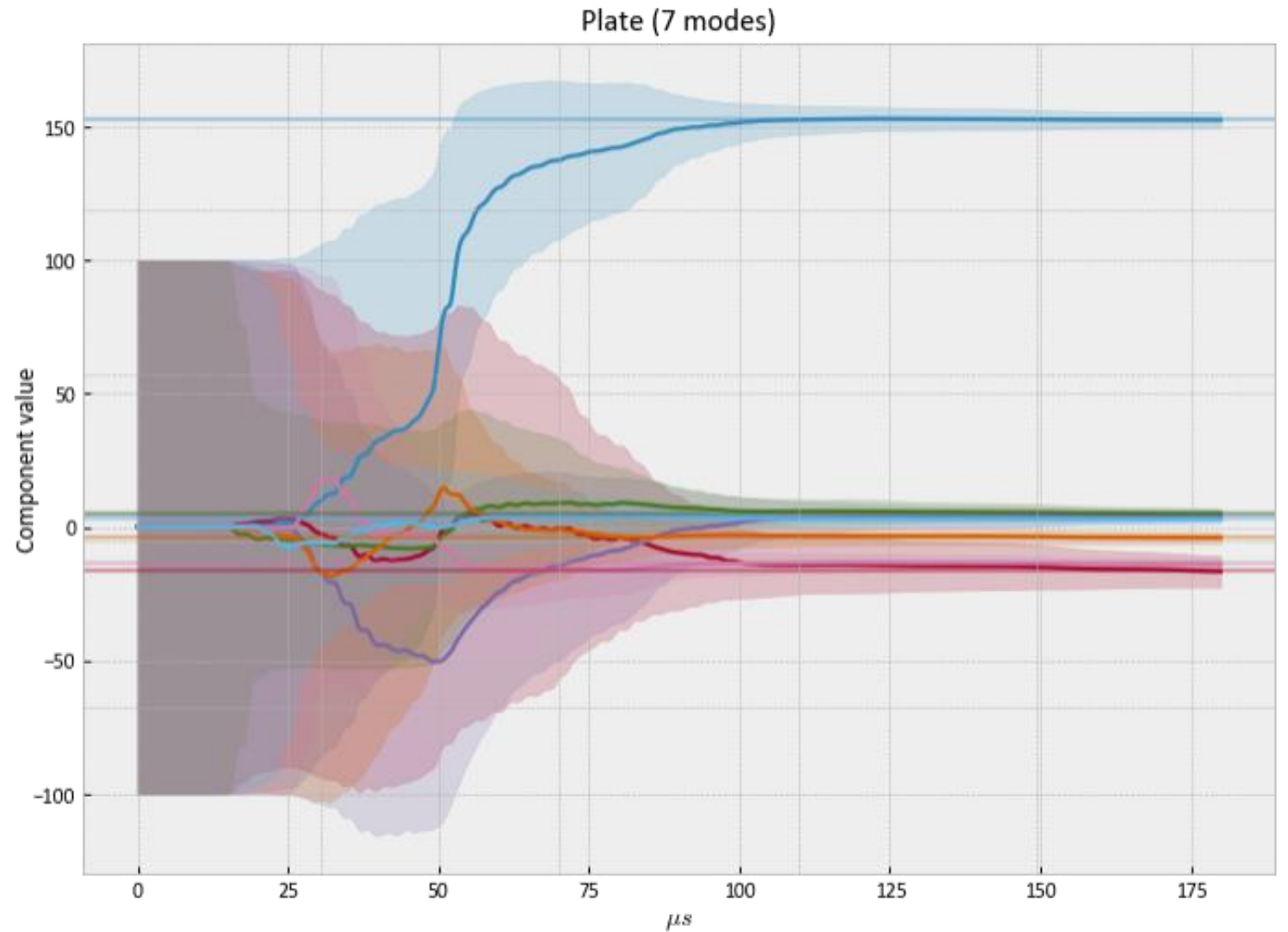
Transducers, natural state and target deformed state.
(300x deformation scale)



Target wavefield

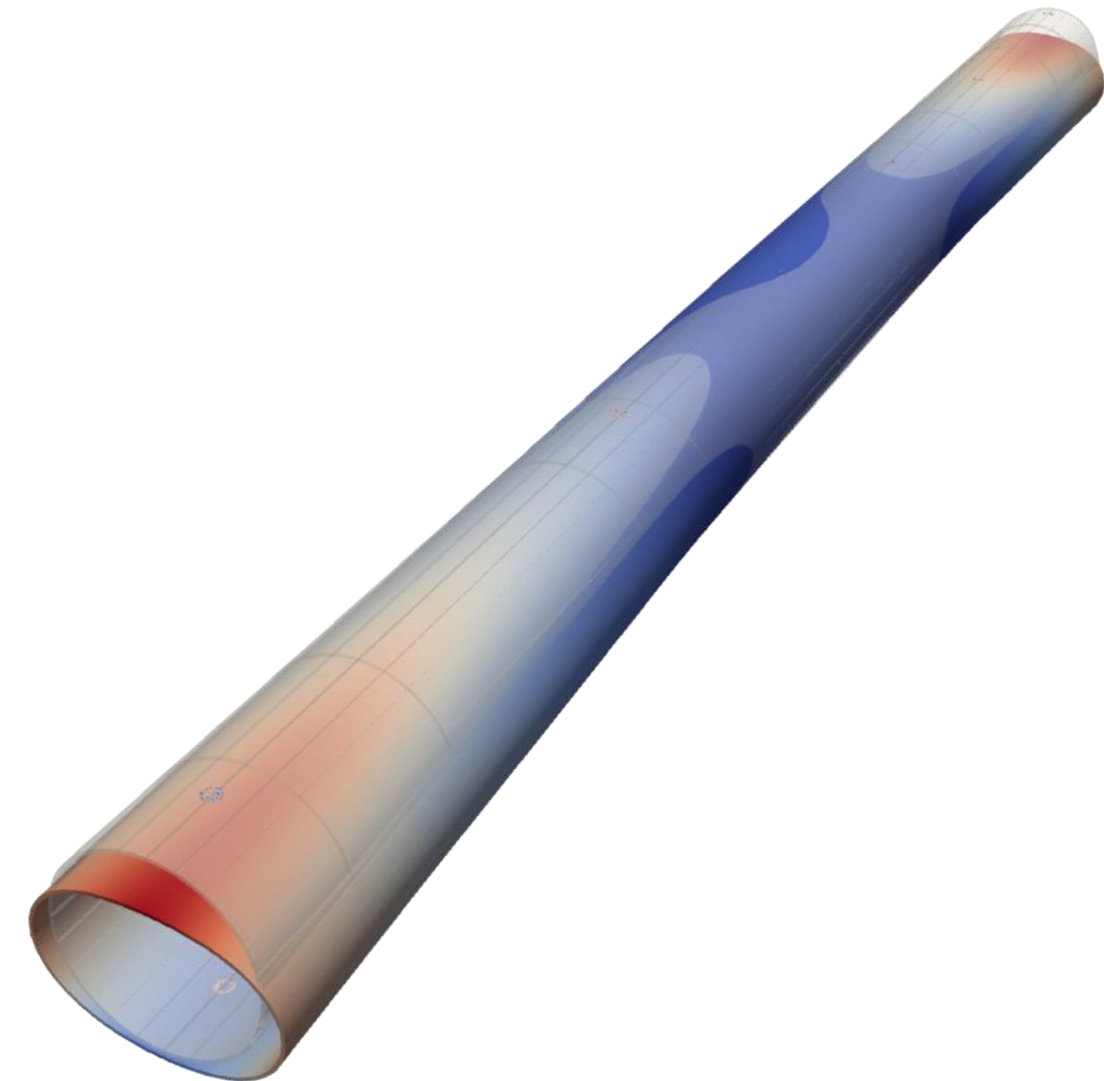


(300x deformation scale)



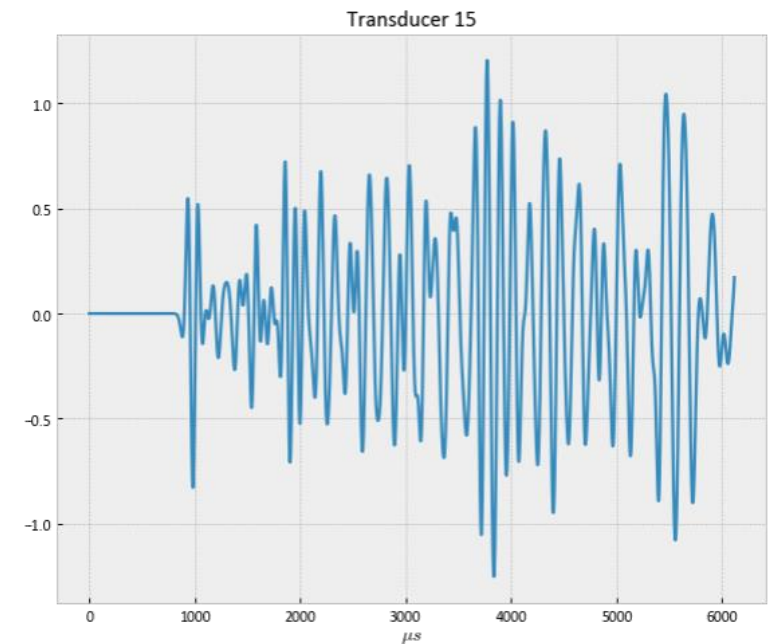
Mode components estimation through the simulation time.

- Dimensions: 2.94m, \varnothing 0.1973m and 8mm
- Measurements: 24 integration patches (radial).
- Excitation: 30kHz 5-cycles.
- Noise: 0.1%
- 1400 μ s simulation time.
- 100 smallest eigenvalues pairs computed.



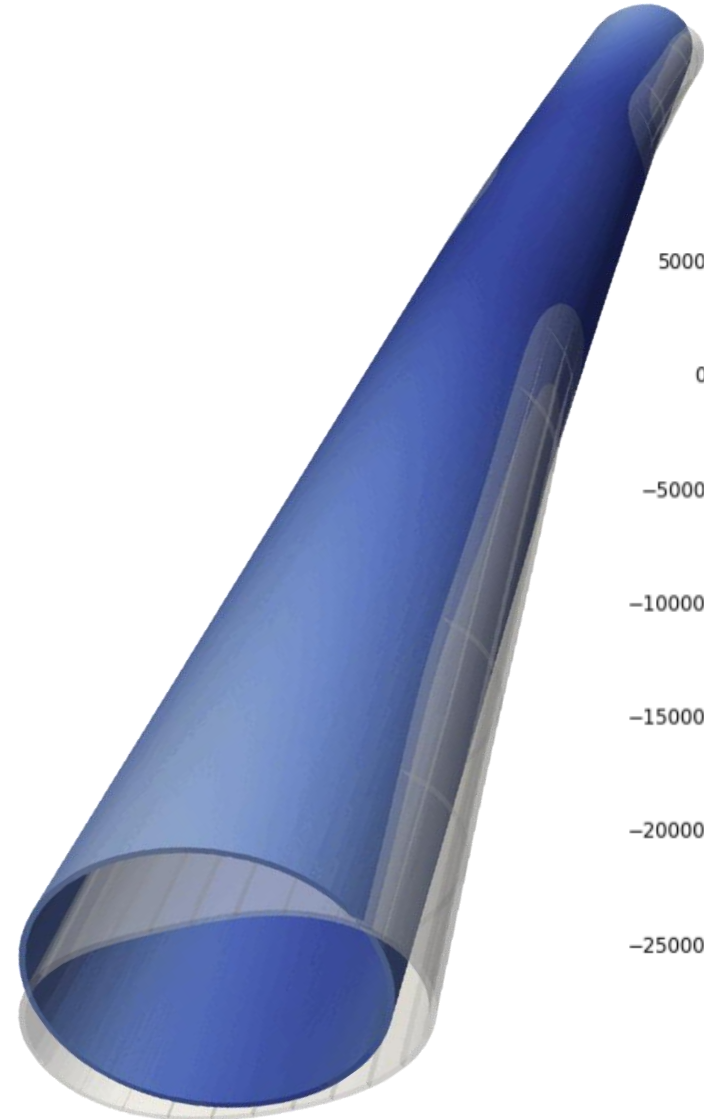
Transducers, natural state and target deformed state.
(3x deformation scale)

Example of
measured signal:

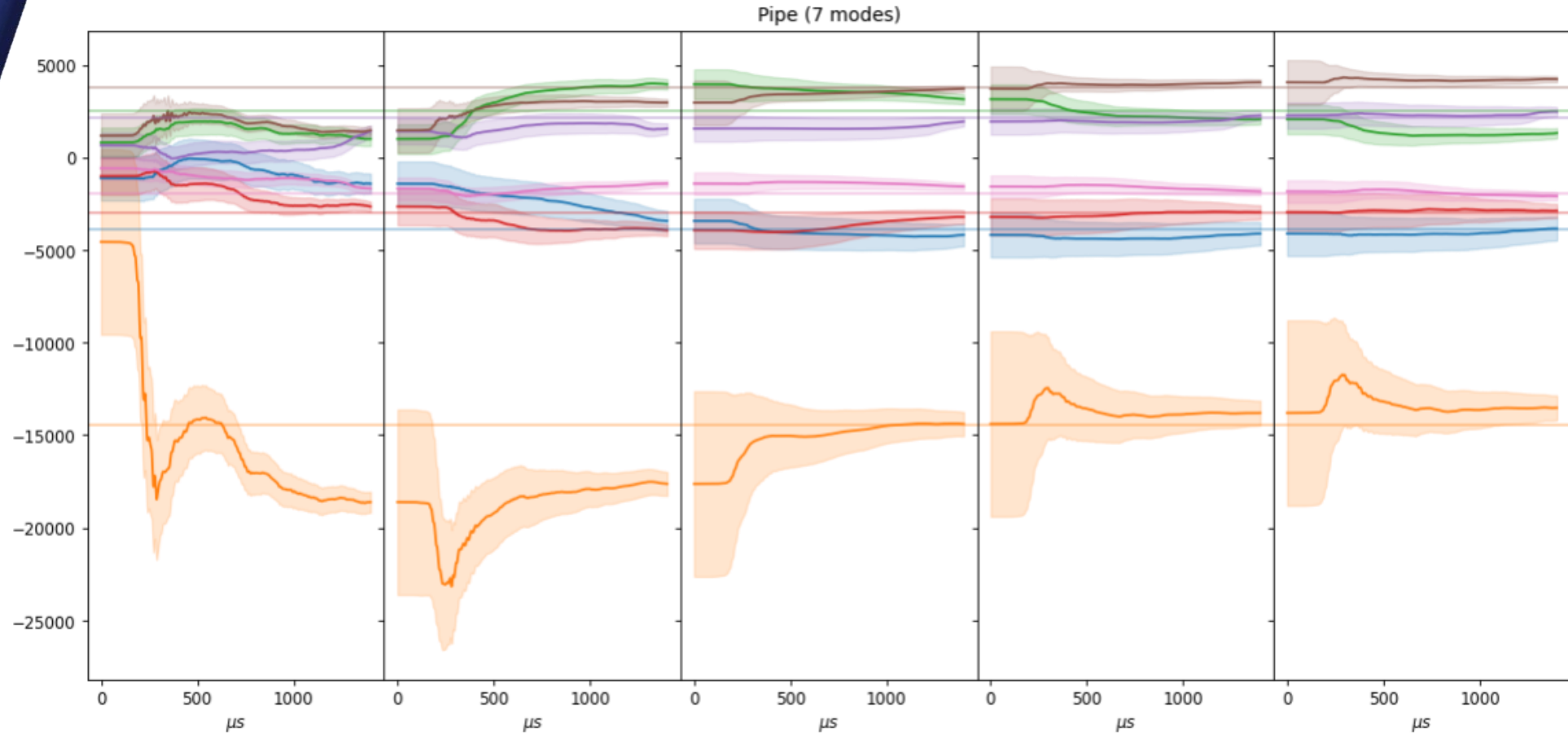


Obs. operator:
$$C_i(\tilde{\mathbf{u}}(t)) = \int_{\Gamma_i} \tilde{\mathbf{u}}(t) \cdot \mathbf{s}_i \, d\Gamma, \quad i \in [1, 24]$$

Iterated case: Estimation is redone with different *a priori*.



Reconstruction
(3x deformation scale)



Mode components estimation repeated 5x through the simulation time.

Conclusions

- A **mechanical and numerical model** was presented for **wave propagation on loaded structures**. It comprises arbitrary hyperelastic law and non-buckling load.
- The simulation model was **validated using experimental data**.
- **Reconstruction of loading deformation** of the structure is done using the presented direct model and **Reduced order Kalman Filter (ROUKF)**.
- Reconstruction of loading deformation on realistic cases were presented.

Perspectives

- Further studies on the stability of the model and limitation of search range of estimator to **mitigate instability** during inversion.
- Mode selection: **sensitivity analysis** from estimation using observed data; different base with the *a priori*.

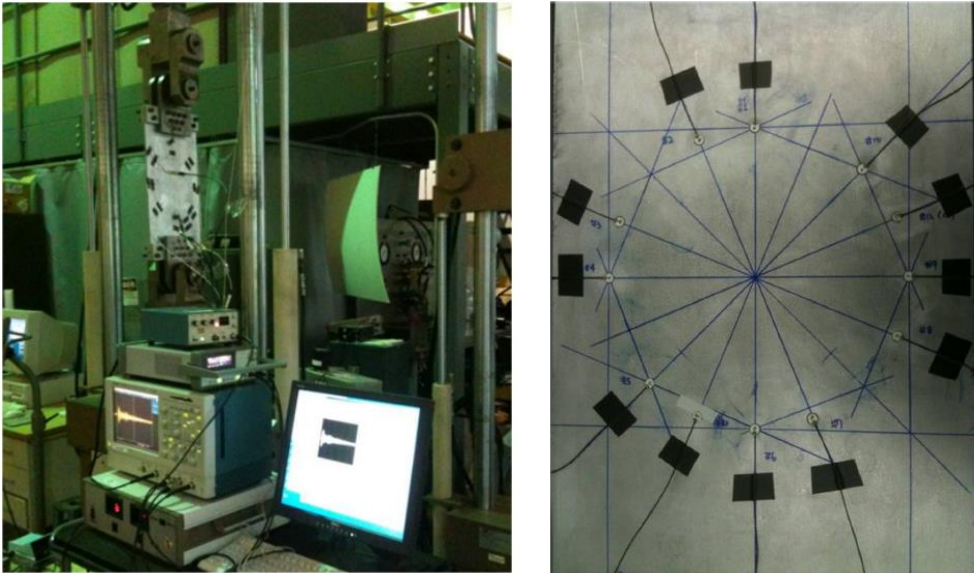
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Thank you for your attention

Experimental setup

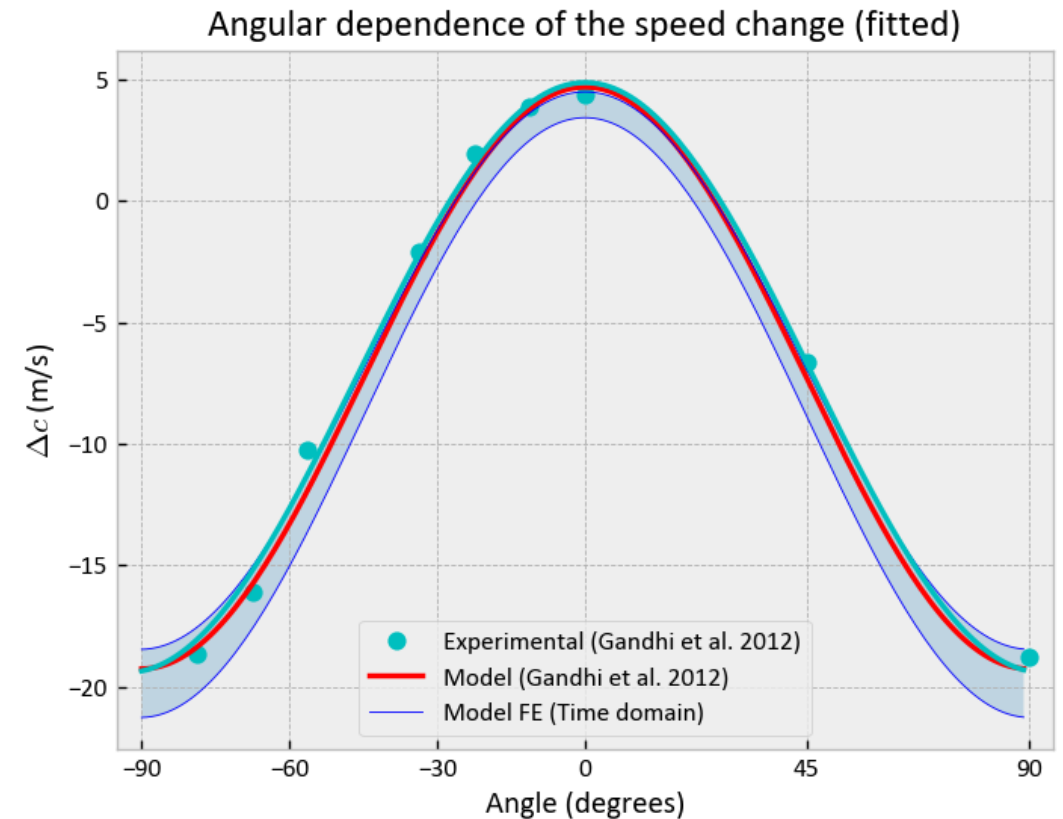


Experimental configuration for measuring velocity changes due to prestresses.

- Frequency: 250kHz (S0 mode)
- 610 x 305 x 6.35 mm aluminium plate
- Axial loading from 0MPa to 57.5MPa

Gandhi et al. 2012

Comparing Models



Velocity change (S0 mode) due to prestresses (57.5MPa) relative to the angle of propagation with fitted material parameters.

Model

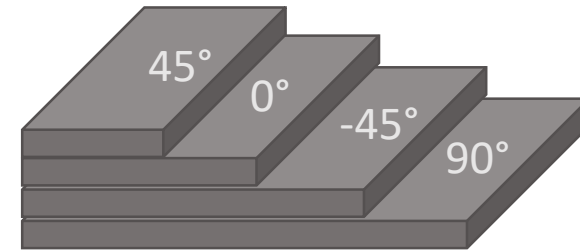
Using a transversely isotropic hyperelastic law for each layer it is possible to model stratified composite materials such as CFRP.

$$W = \frac{\mu}{2}(I_1 - 3) - \mu \log \sqrt{I_3} + \frac{\lambda}{2}(\sqrt{I_3} - 2)^2 + [\alpha + \beta \log \sqrt{I_3} + \gamma(I_4 - 1)](I_4 - 1) - \frac{\alpha}{2}(I_5 - 1)$$

Bonet, J, and AJ Burton. 1998.

16-ply quasi-isotropic CFRP plate

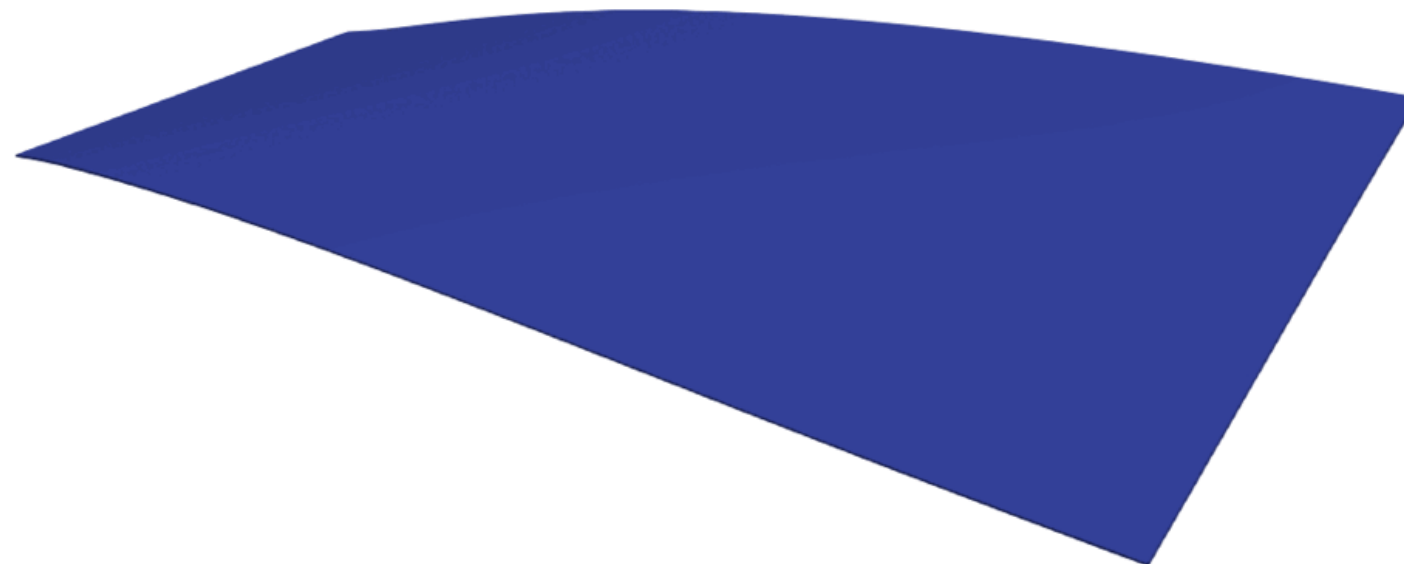
Dimensions:
900 x 300 x 2 [mm]



$[45^\circ, 0^\circ, -45^\circ, 90^\circ]$



$[45^\circ, 0^\circ, -45^\circ, 90^\circ]_{2S}$



Guided wave propagation in the deformed structure.

- Let us consider $(v^{(i)})_{1 \leq i \leq N_s}$ such that

$$\Pi = \sum_{1 \leq i \leq N_s} \omega_i v^{(i)} v^{(i)\top}$$

- The $(v^{(i)})_{1 \leq i \leq N_s}$ can be defined from any generic decomposition of the identity over $(e^{(i)})_{1 \leq i \leq N_s}$ with

$$\sum_{1 \leq i \leq N_s} \omega_i e^{(i)} e^{(i)\top} = \mathbb{1}, \quad v^{(i)} = \sqrt{\Pi} e^{(i)}$$

- Then for any matrix Γ

$$\Gamma \Pi \Gamma^\top = \sum_{1 \leq i \leq N_s} \omega_i (\Gamma v^{(i)}) (\Gamma v^{(i)})^\top$$

- If $\Gamma = d\varphi(z)$, we have

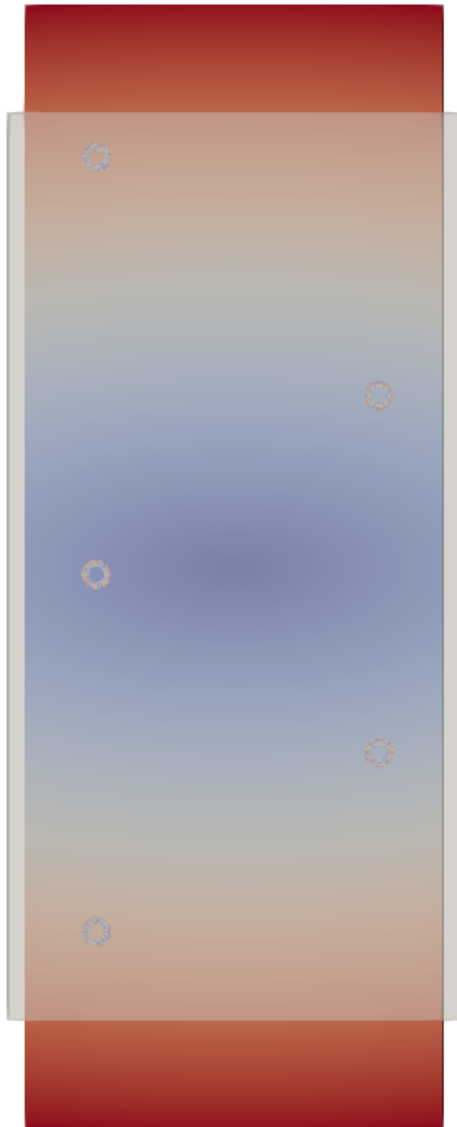
$$d\varphi(z) \Pi d\varphi(z)^\top = \sum_{1 \leq i \leq N_s} \omega_i (d\varphi(z) v^{(i)}) (d\varphi(z) v^{(i)})^\top$$

- Note that $d\varphi(z)v \simeq \varphi(z+v) - \varphi(z)$ with equality for linear φ hence

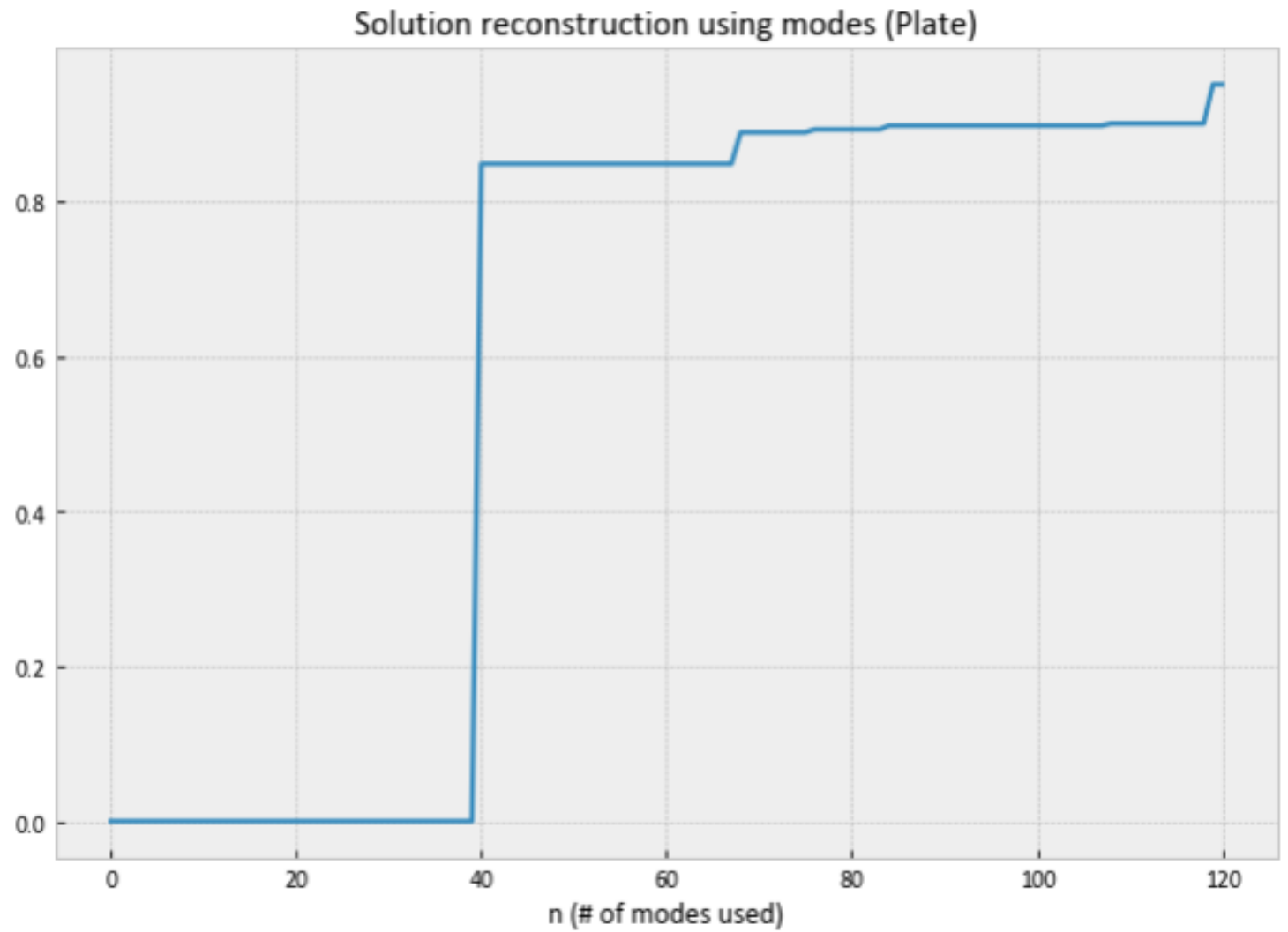
$$d\varphi(z) \Pi d\varphi(z)^\top \simeq \sum_{1 \leq i \leq N_s} \omega_i (\varphi(z+v^{(i)}) - \varphi(z)) (\varphi(z+v^{(i)}) - \varphi(z))^\top$$

- .. with equality when φ is a linear operator ...

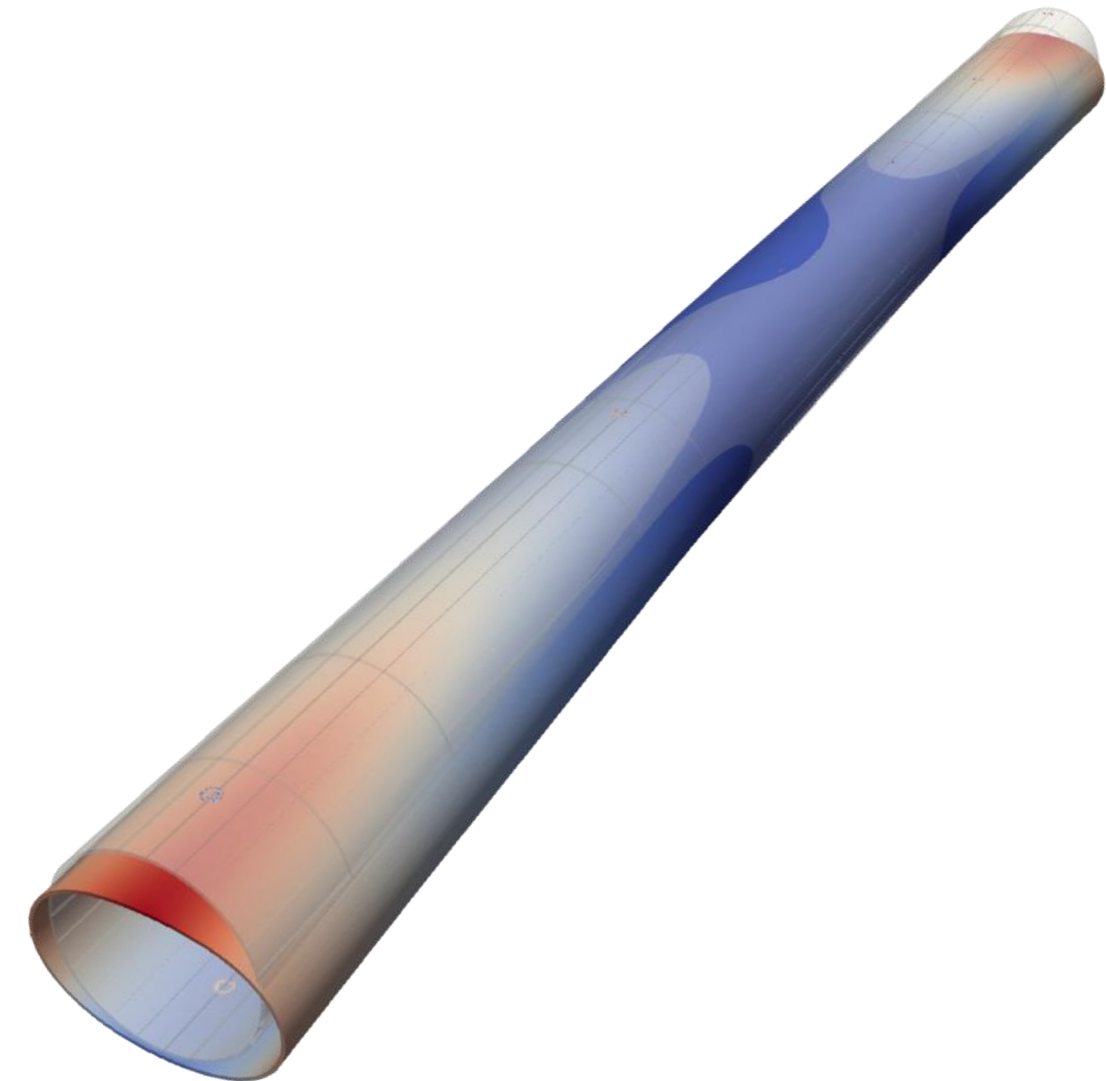
Slide taken from the course
MSE 303 { Data assimilation fundamentals }
Philippe Moireau
Inria
LMS, Ecole Polytechnique,
CNRS, Institut Polytechnique de Paris



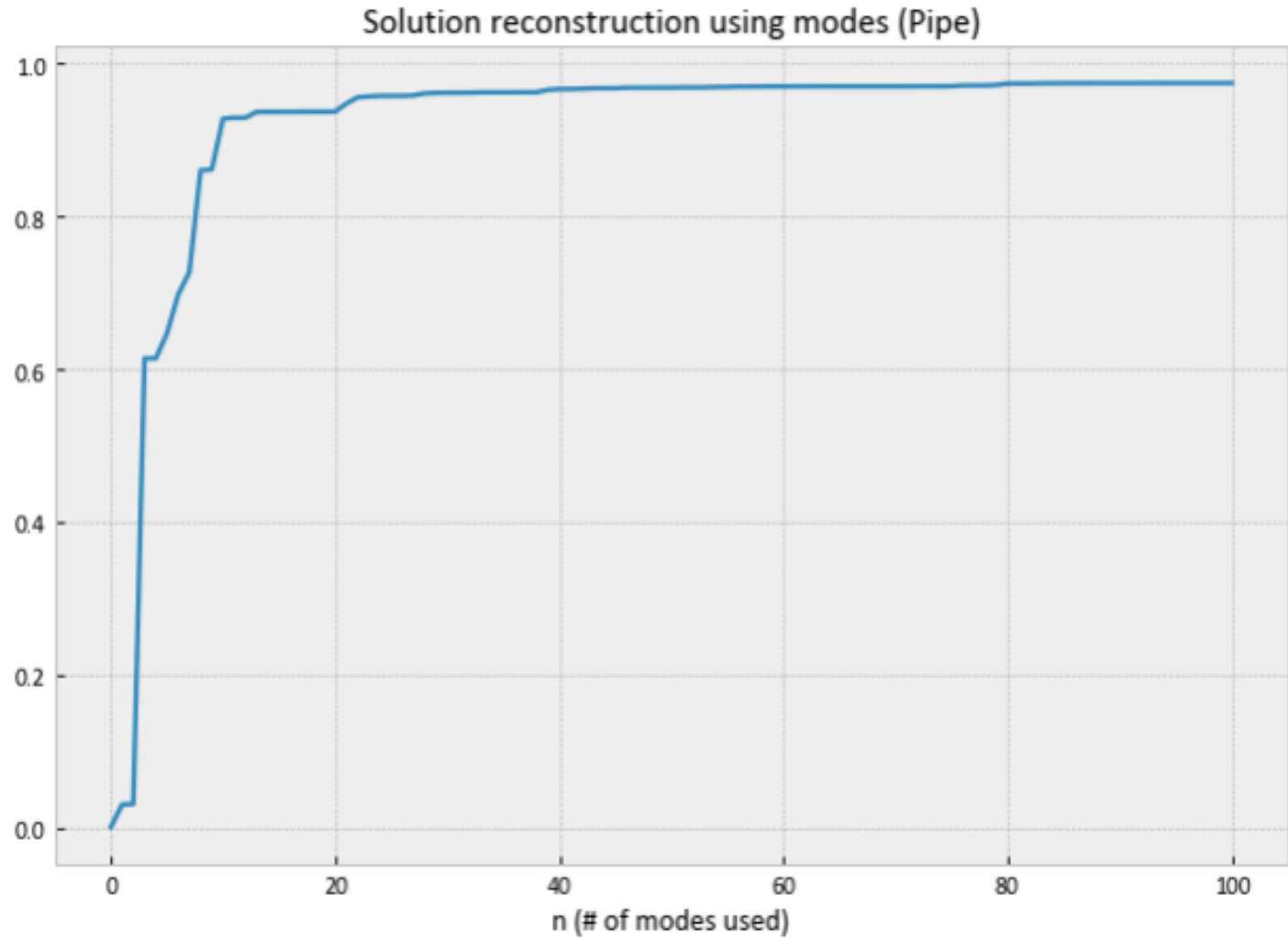
Transducers, natural state and target deformed state.
(300x deformation scale)



Target deformation reconstruction by number of static-problem eigenmodes used.

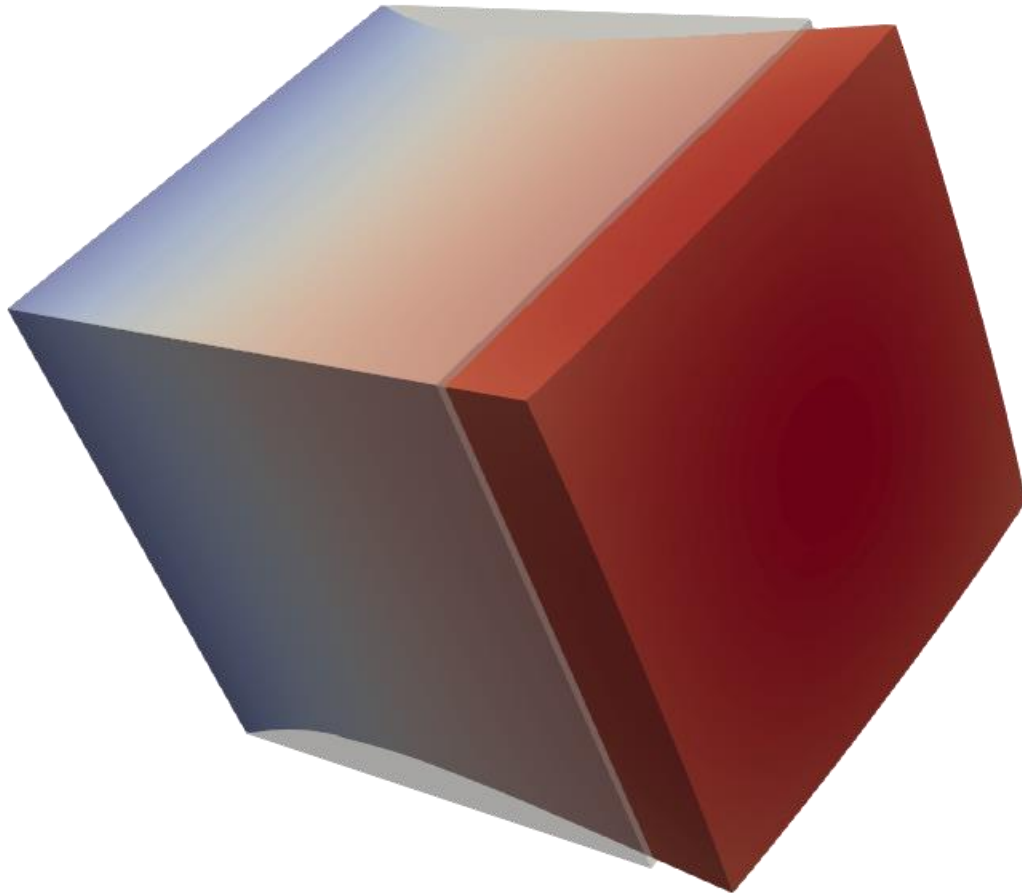


Transducers, natural state and target deformed state.
(3x deformation scale)



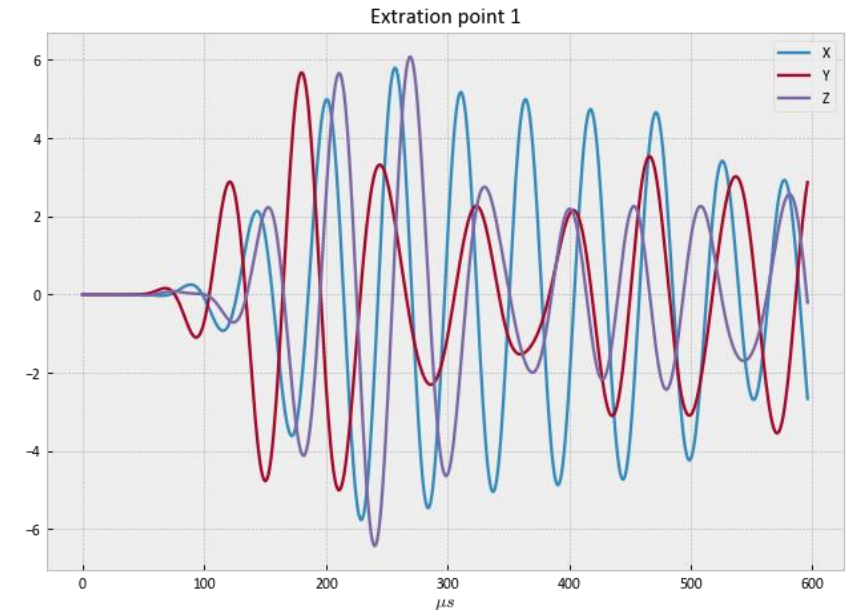
Target deformation reconstruction by number of static-problem eigenmodes used.

- Dimensions: 60 x 60 x 60 mm
- Measurements: XYZ solutions at the faces center.
- Excitation: 100kHz 5-cycles at a remaining face.
- Noise: 0.1%
- 100μs simulation time.
- 100 smallest eigenvalues pairs computed.



Natural state and target deformed state.
(10x deformation scale)

Example of measured signal:

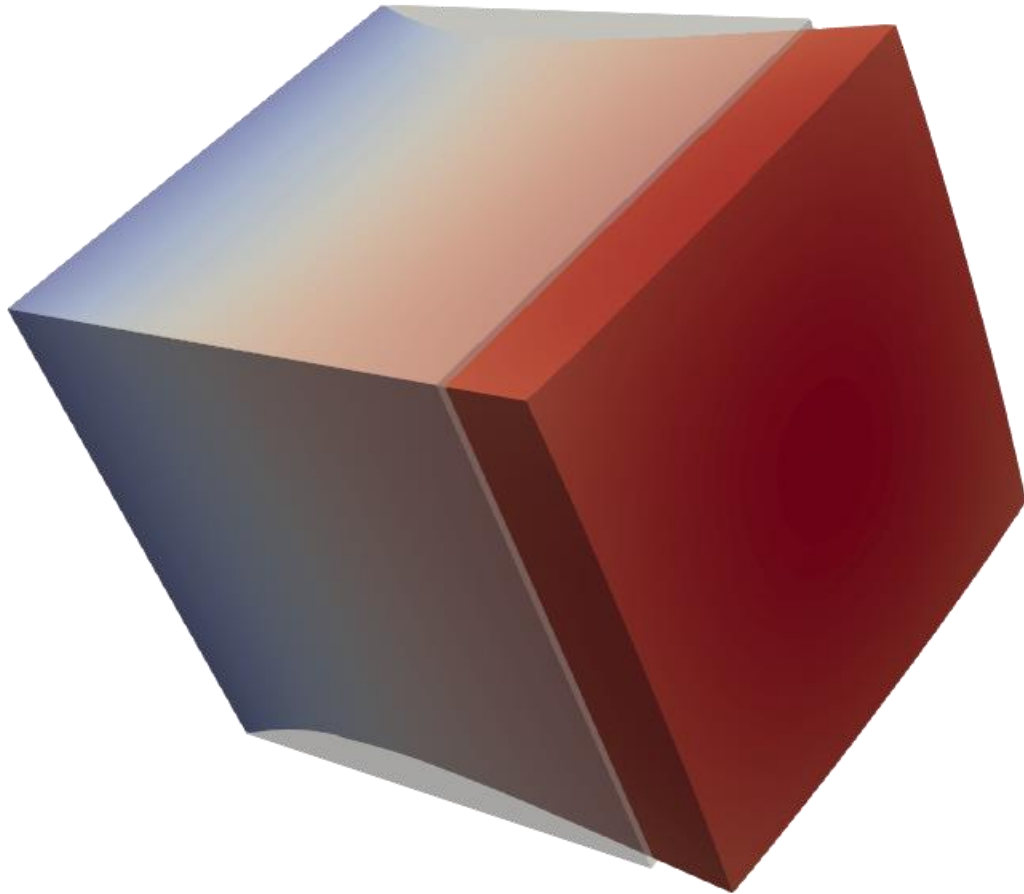


Obs. operator:

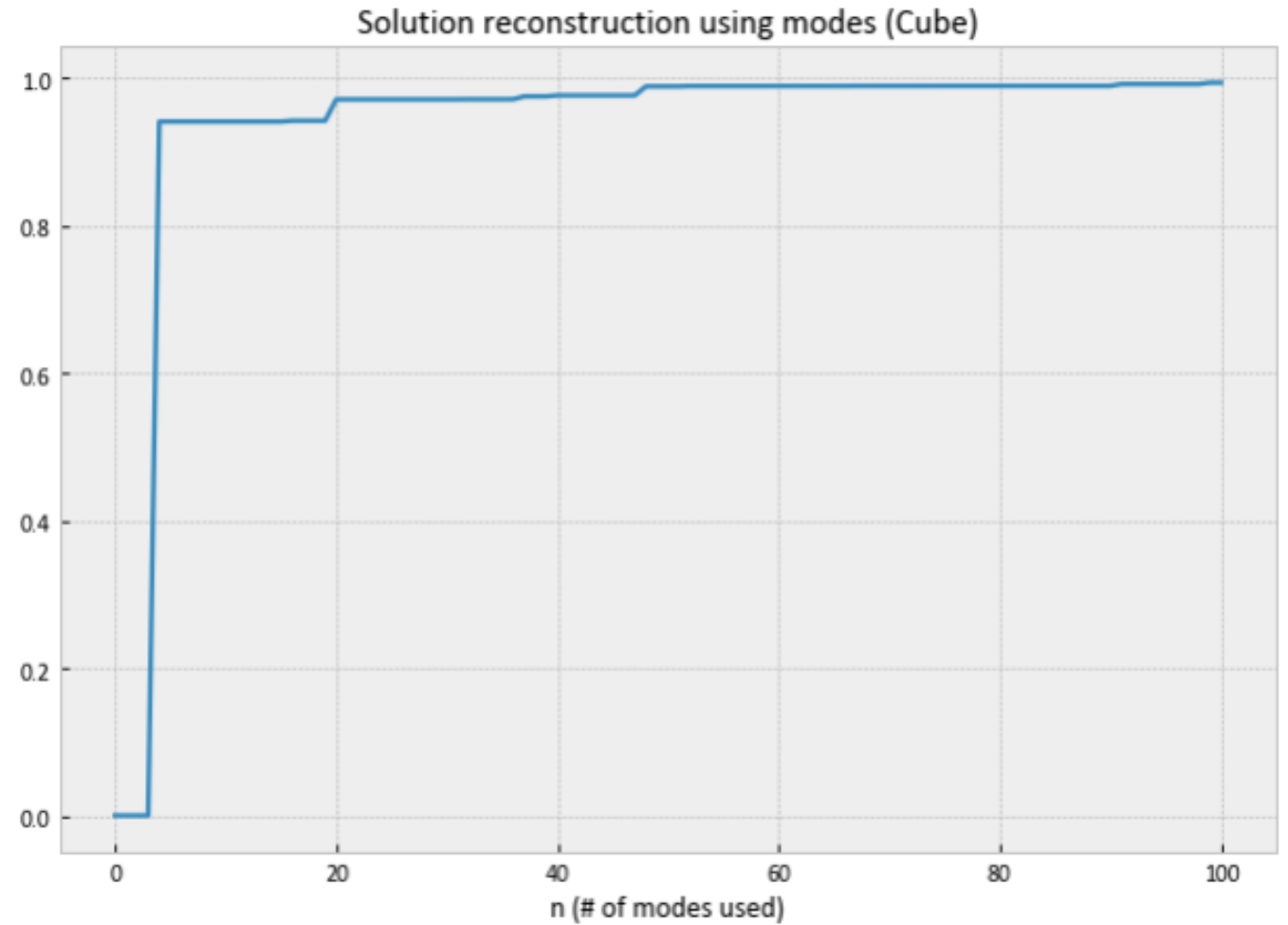
$$C_i(\tilde{u}(t)) = \frac{1}{|\Gamma_i|} \int_{\Gamma_i} \tilde{u}_j(t) \, d\Gamma, \quad \mathbf{i} = (i, j), i \in [1, 5], j \in [1, 3]$$

Added noise:

$$y_j^{\delta, n} = y_j^n + \frac{\delta}{\sqrt{\Delta t}} \chi_j^n \|y_j\|_{L_2} \quad \|y_j\|_{L_2}^2 = \sum_n \frac{\Delta t}{N_T} y_j^{n\top} y_j^n$$

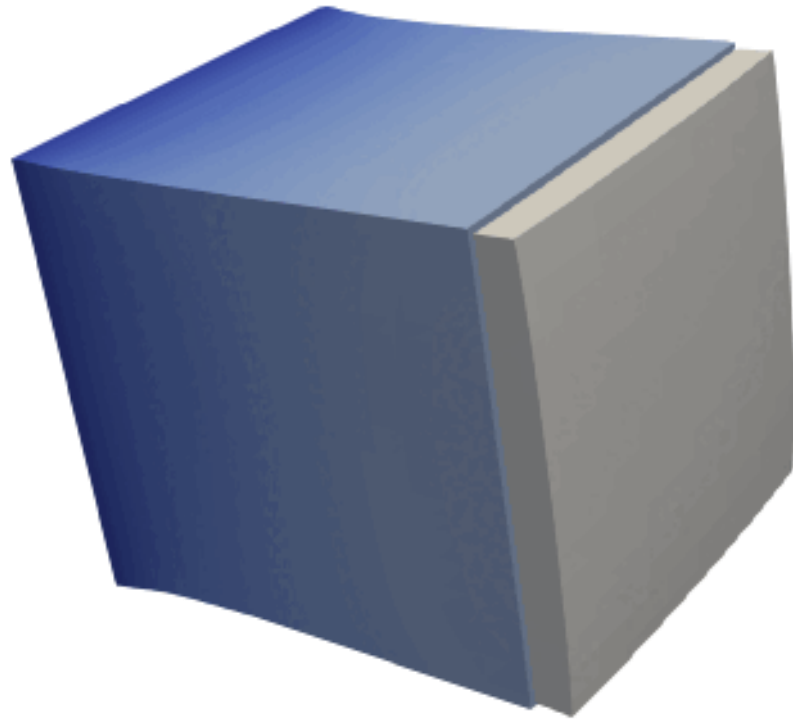


Natural state and target deformed state.
(10x deformation scale)

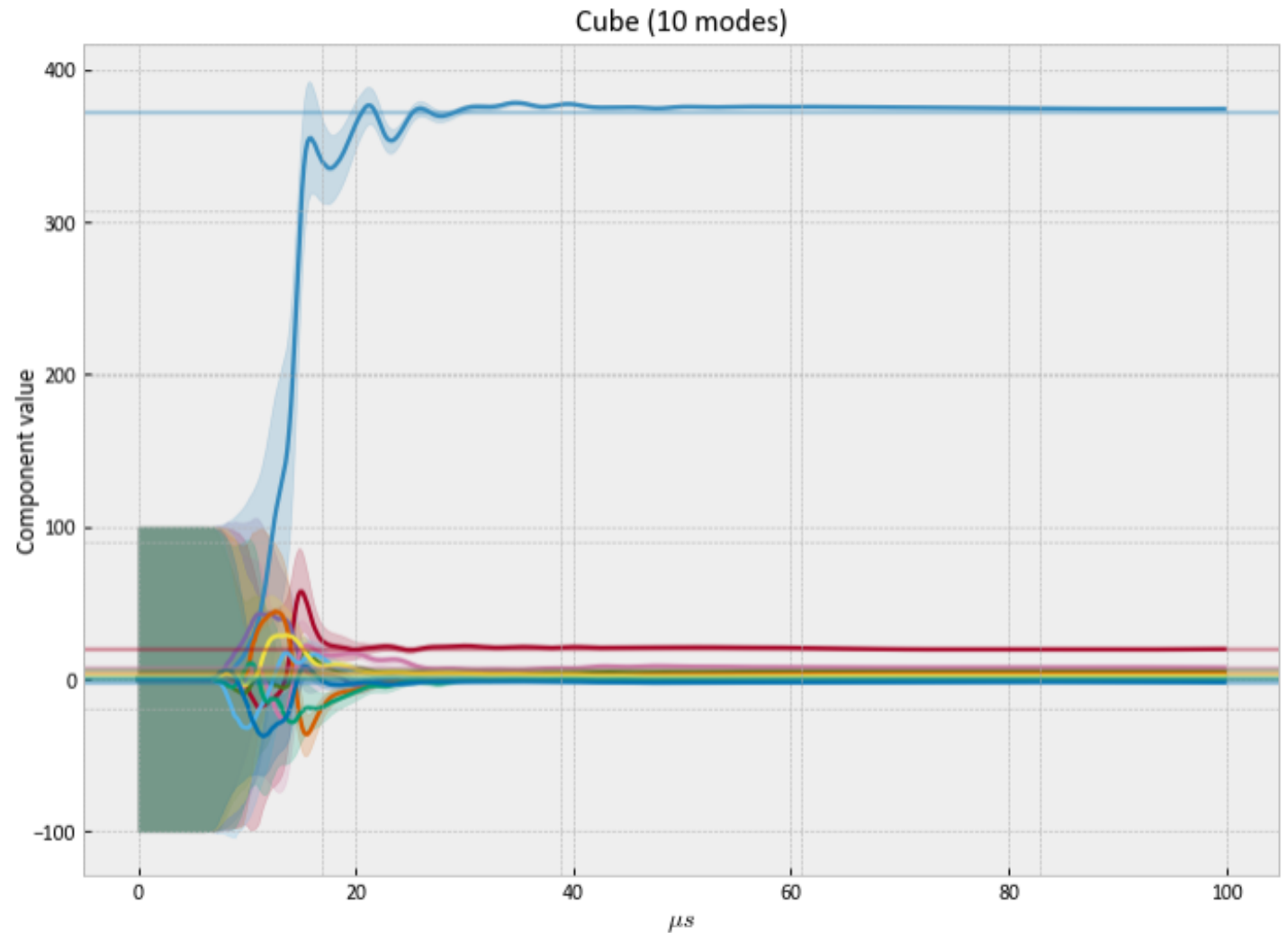


Target deformation reconstruction by number of static-problem eigenmodes used.

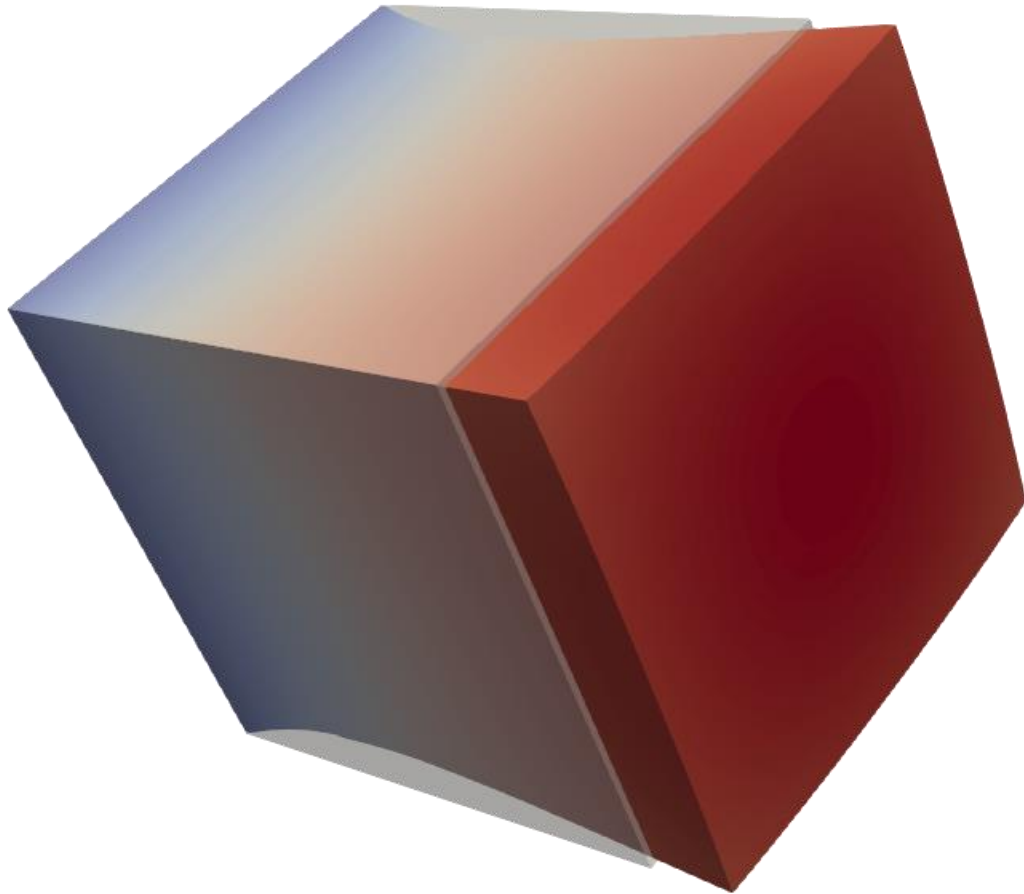
$$\text{Plot : } 1 - \frac{\| (u_0 - \sum_{i=0}^n u_0^{(i)} \Psi_i) \|_{\mathcal{M}}}{\| u_0 \|_{\mathcal{M}}}$$



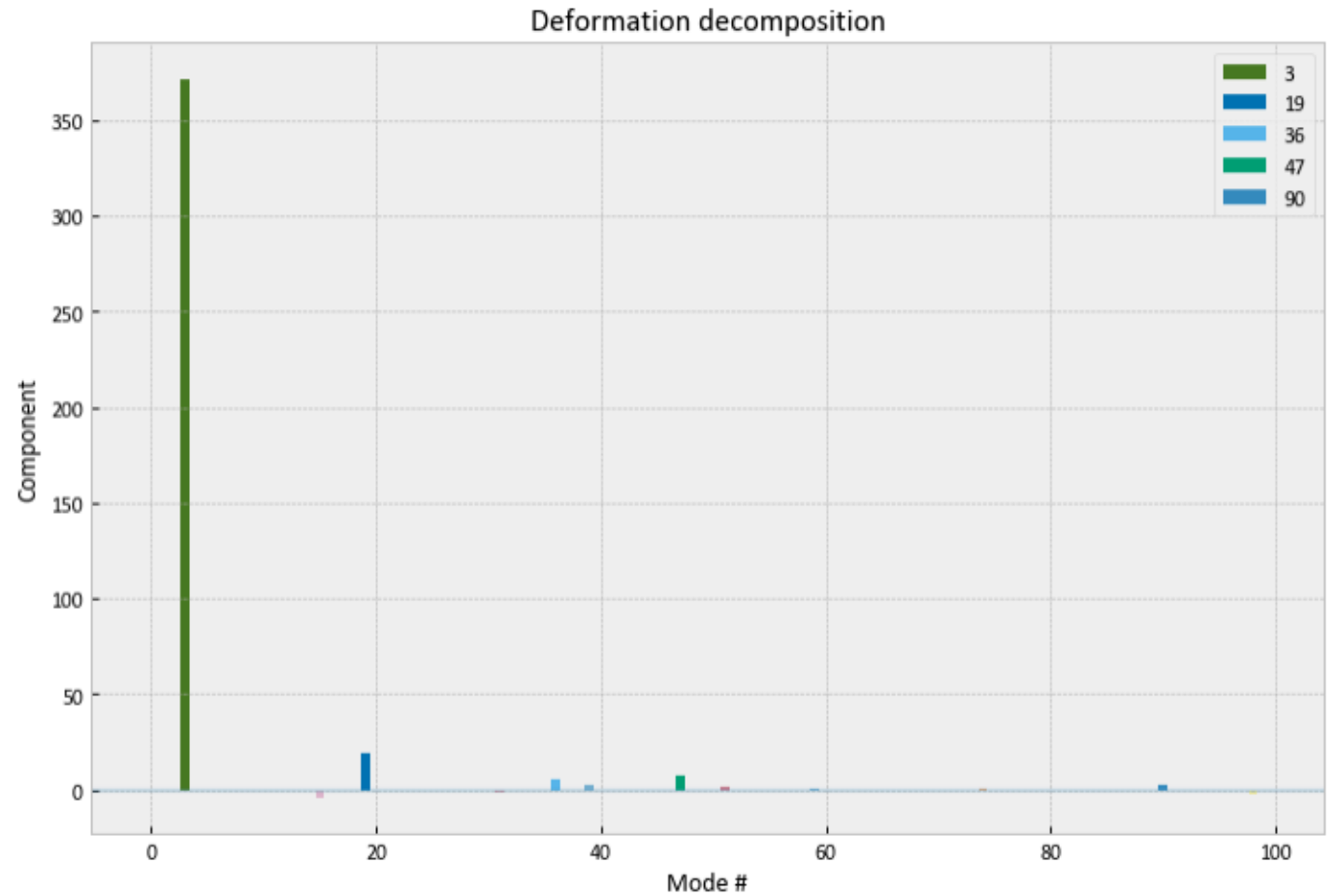
Natural state and target deformed state.
(10x deformation scale)



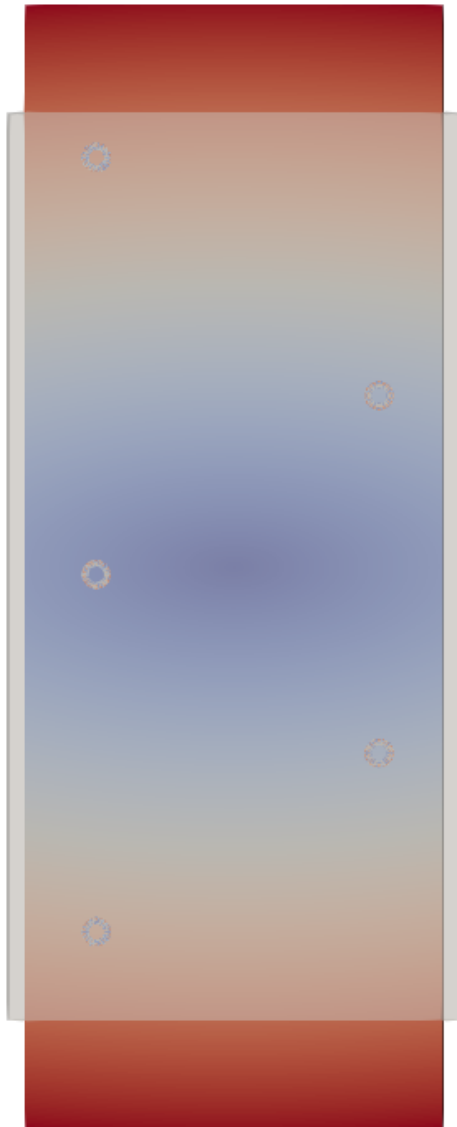
Mode components estimation through the simulation time.



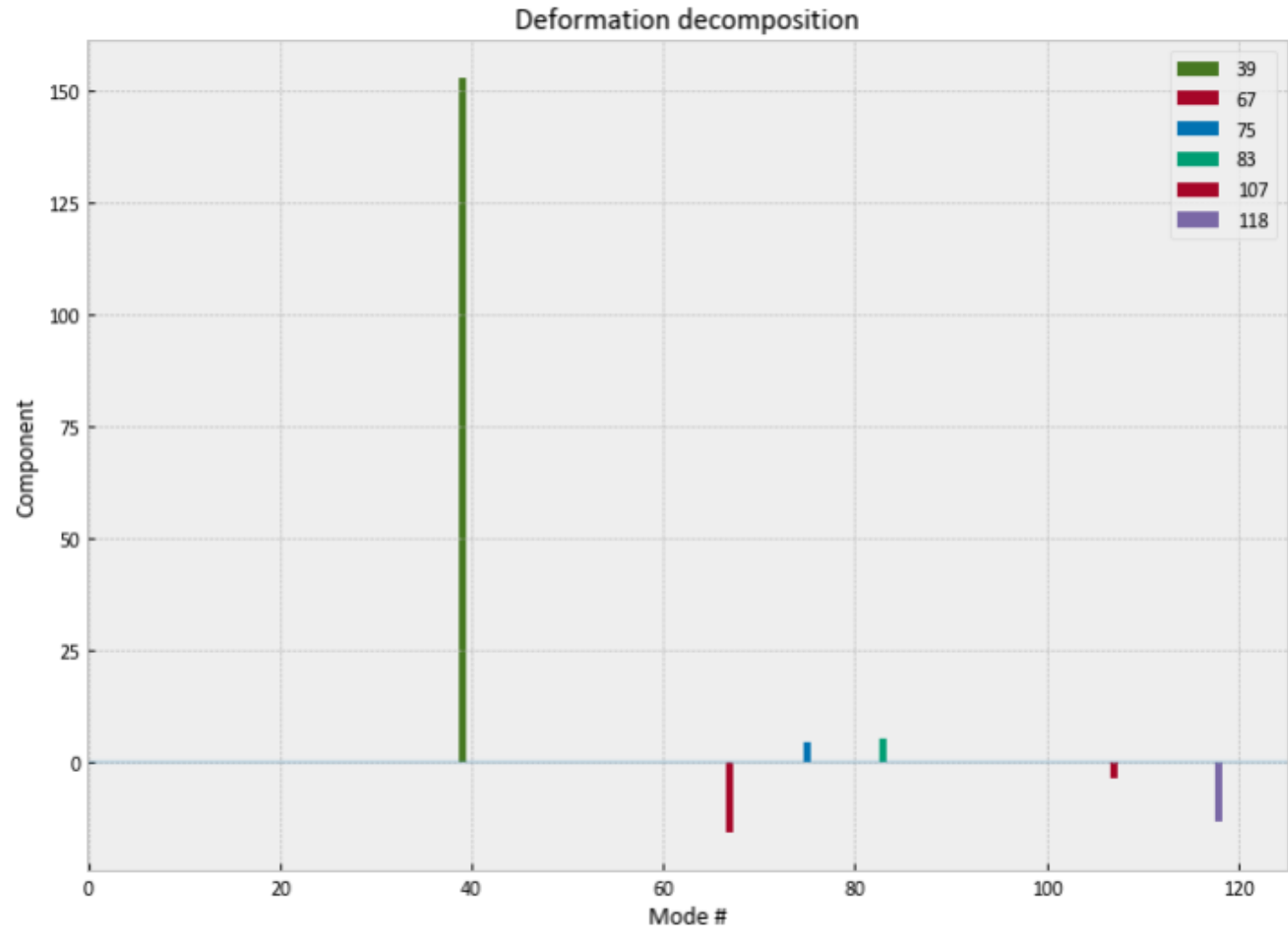
Natural state and target deformed state.
(10x deformation scale)



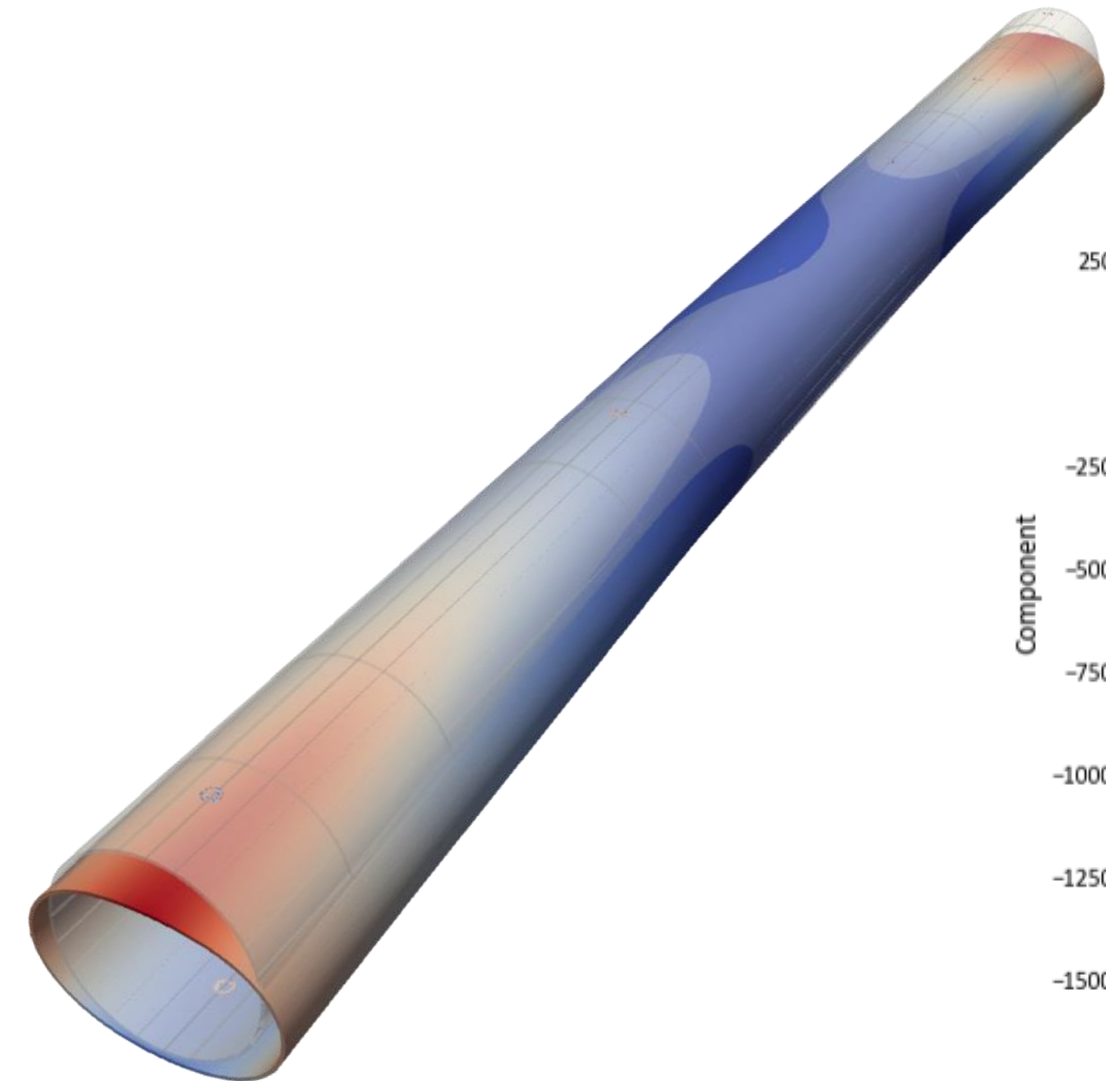
Target deformation decomposition in the static-problem eigenmodes.



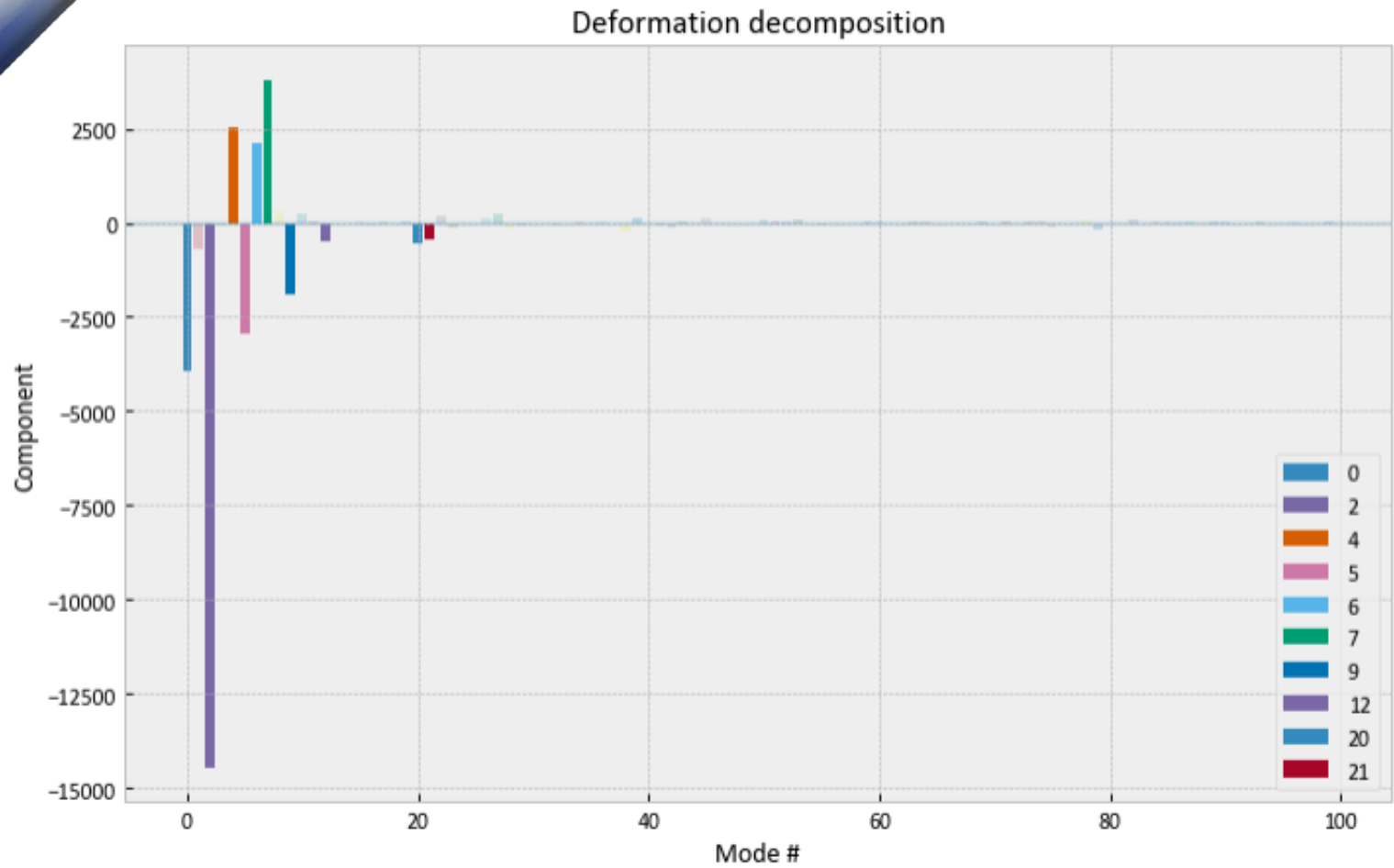
Transducers, natural state and target deformed state.
(300x deformation scale)



Target deformation decomposition in the static-problem eigenmodes.

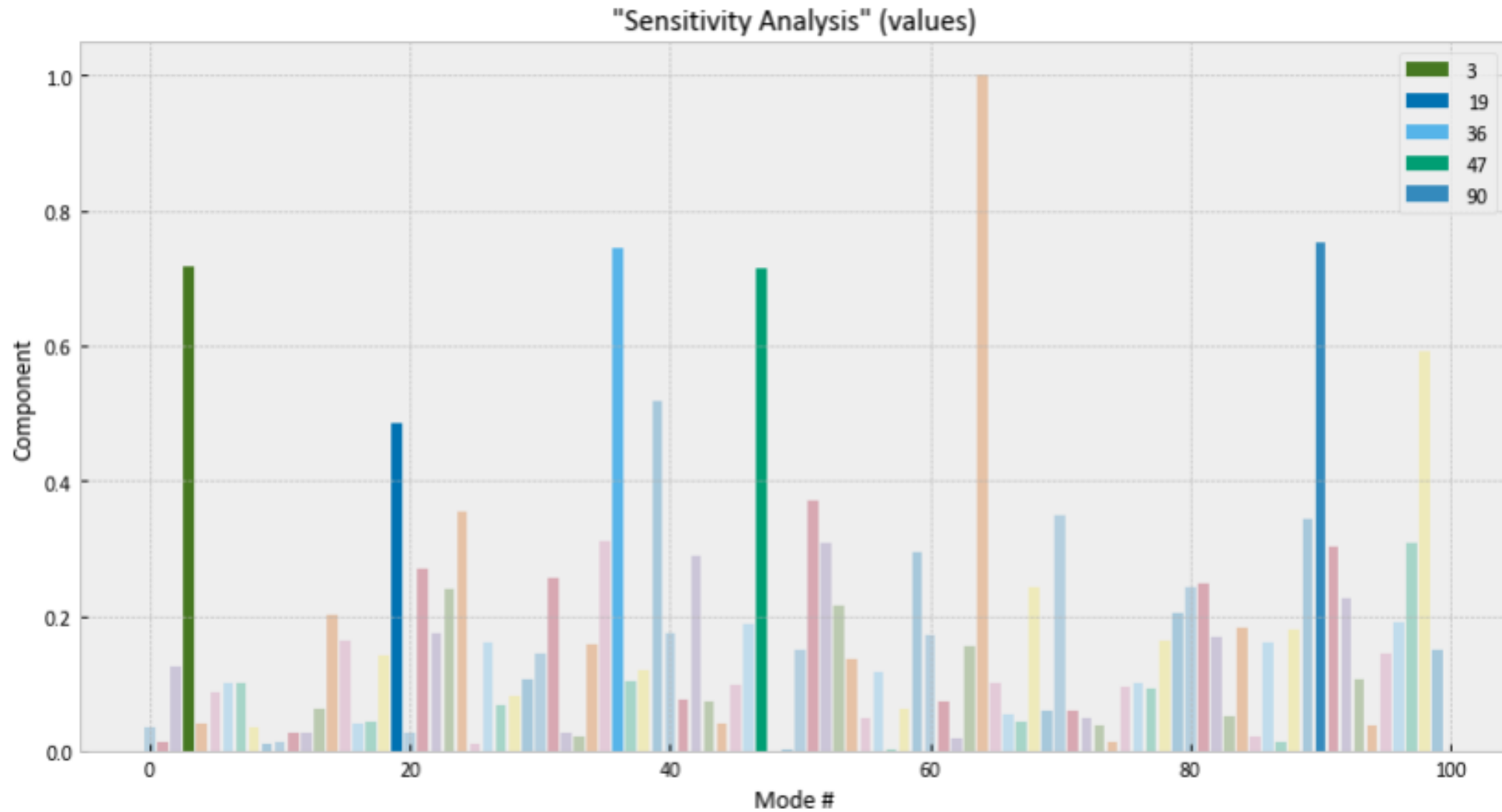


Transducers, natural state and target deformed state.
(3x deformation scale)



Target deformation decomposition in the static-problem eigenmodes.

Objective: Estimate without the *a priori*.



Objective: Estimate without the *a priori*.

