Optimisation topologique d'interfaces microstructurées

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Microstructred interfaces and effective transmission conditions



Is it possible to attenuate or enhance the transmitted wave in some specific direction ?

An example from [Noguchi and Yamada, 2021]



Noguchi, Y. & Yamada, T. Topology optimization of acoustic metasurfaces by using a two-scale homogenization method Applied Mathematical Modelling, 2021

Optimisation d'interfaces

Optimization based on an effective model



(1) Homogenization process toward effective transmission conditions:

- Double-scale expansions and matched asymptotics
- Band problems to capture the microstructural effects
- FFT-based solvers to address these problems
- (2) Optimization strategy:
 - Cost functionals based on effective transmission properties
 - Topological sensitivity to drive updating steps
 - Level-set representation, regularization and iterative algorithm
 - Initialisation with optimal elliptic inclusions.

Outline

Introduction

Effective models, cell/band problems and FFT-based solvers

Optimization

- Optimization problem
- Topological sensitivity and approximate effective coefficients

Level-set algorithm, numerical examples

5 Conclusions and perspectives

Effective transmission conditions [Marigo et al., 2017, Lombard et al., 2017]



Antiplane shear waves:

$$\rho\left(\frac{\boldsymbol{x}}{\ell}\right)\frac{\partial^2 u_\ell(\boldsymbol{x},t)}{\partial t^2} - \boldsymbol{\nabla}\cdot\left[\mu\left(\frac{\boldsymbol{x}}{\ell}\right)\boldsymbol{\nabla} u_\ell(\boldsymbol{x},t)\right] = 0$$

- (ρ,μ) : density and shear modulus, 1-periodic along the interface
- Long-wavelength assumption: $\ell \ll \lambda$
- Double scale dependency and matched asymptotic expansions.

Effective model for macroscopic fields (V, S):



Effective parameters computed from Φ , solution of a **band problem** on Y_{∞} .

 $m_{\text{eff}} = (\mathcal{B}, \mathcal{C}, \mathcal{S})$

Band problem



Original problem in **infinite band**: (normalized "fast" coordinate $\boldsymbol{y} = \boldsymbol{x}/\ell$) $\nabla \cdot (\mu [\boldsymbol{I} + \nabla \Phi]) = \boldsymbol{0}$ in Y_{∞} Φ is periodic in the y_2 variable $\lim_{y_1 \to \pm \infty} \nabla \Phi = \boldsymbol{0}$

Computations in artificially bounded domain:

Effective coefficients [Marigo et al., 2017]

$$\begin{split} \boldsymbol{\mathcal{B}} &= \lim_{y_1 \to +\infty} \boldsymbol{\Phi} - \lim_{y_1 \to -\infty} \boldsymbol{\Phi} + \boldsymbol{f}(\boldsymbol{b}) \\ \boldsymbol{\mathcal{C}} &= \int_{Y_{\infty}} \mu(\boldsymbol{y}) \partial_2 \boldsymbol{\Phi}(\boldsymbol{y}) \, \mathrm{d}\boldsymbol{y} + \boldsymbol{g}(\boldsymbol{b}, \mu) \\ \boldsymbol{\mathcal{S}} &= h(\boldsymbol{b}, \rho) \end{split}$$

Reformulation as a cell problem [Cornaggia, Touboul & Bellis, C. R. Mécanique, 2022]



Original problem in infinite band:

 $\begin{aligned} \nabla \cdot (\mu \left[\boldsymbol{I} + \boldsymbol{\nabla} \boldsymbol{\Phi} \right]) &= \boldsymbol{0} \quad \text{in } Y_{\infty} \\ \boldsymbol{\Phi} \text{ is periodic in the } y_2 \text{ variable} \\ \lim_{y_1 \to \pm \infty} \boldsymbol{\nabla} \boldsymbol{\Phi} &= \boldsymbol{0} \end{aligned}$



Equivalent cell problem:

 $\begin{vmatrix} \boldsymbol{\nabla} \cdot (\boldsymbol{\mu} \left[\boldsymbol{I} + \boldsymbol{\nabla} \boldsymbol{\Phi} \right]) = \boldsymbol{0} & \text{in } Y_b \\ \boldsymbol{\Phi} \text{ is periodic in the } y_2 \text{ variable} \\ \partial_n \boldsymbol{\Phi} \left(\pm b/2, \cdot \right) = \Lambda \left[\boldsymbol{\Phi} \left(\pm b/2, \cdot \right) \right] \end{aligned}$

A: Dirichlet-to-Neumann (DtN) operator. $(\mathcal{B}, \mathcal{C})$ have expressions implying only integrals on Y_b

Numerical strategy: decomposition $\Phi = \Phi_{\mathrm{per}} + \Phi_{\mathrm{bound}}$ (periodic + corrector)

- $\bullet~\Lambda$ and Φ_{bound} have an explicit expression in Fourier basis.
- Φ_{per} satisfies ∇ · (μ [I + ∇Φ_{bound} + ∇Φ_{per}]) = 0 ⇒ iterative FFT-based solvers [Moulinec and Suquet, 1995]

Examples for an elliptic inclusion

 $\mu_{\mathrm{inc}}/\mu_{\mathrm{mat}}=$ 6, 129imes129 pixels:



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Cost functionals and optimization problem

Cost functionals: evaluate the medium performance through its effective properties:

$$\mathcal{J}(\boldsymbol{m}) = J(\boldsymbol{m}_{\text{eff}}) \qquad \text{here:} \begin{array}{l} \boldsymbol{m} = (\rho(\boldsymbol{y}), \mu(\boldsymbol{y})), \quad \boldsymbol{y} \in Y_b \\ \boldsymbol{m}_{\text{eff}} = (\boldsymbol{\mathcal{B}}, \boldsymbol{\mathcal{C}}, \boldsymbol{\mathcal{S}}) \end{array}$$

Examples : cost functionnals on effective reflexion and transmission coefficients for incident direction θ_I :

$$J(\boldsymbol{m}_{\text{eff}}) = F(\mathcal{R}(\boldsymbol{m}_{\text{eff}}, \boldsymbol{\theta}_{\mathbf{I}}), \mathcal{T}(\boldsymbol{m}_{\text{eff}}, \boldsymbol{\theta}_{\mathbf{I}}))$$

Optimization problem

Find m_{opt} that minimizes $\mathcal{J}(m)$.

With the dependencies $m \to \text{cell problems} \to m_{\text{eff}} \to J(m_{\text{eff}}) = \mathcal{J}(m)$

General strategy:

- Constraints and parametrization of m, e.g. piecewise uniform materials
- Iterative "material update" algorithms

$$oldsymbol{m}^{(n+1)} = oldsymbol{m}^{(n)} + \Delta oldsymbol{m}^{(n)}$$
 such that $\mathcal{J}\left(oldsymbol{m}^{(n+1)}
ight) < \mathcal{J}\left(oldsymbol{m}^{(n)}
ight)$

• Main tool: sensitivity of ${\cal J}$ to a material update Δm to choose a "good" $\Delta m^{(n)}$.

Topological sensitivity of a cost functional

[Sokolowski and Zochowski, 1999, Garreau et al., 2001, Amstutz, 2011, Bonnet et al., 2018] ...



• Localized phase change in the cell: $m o m_{arepsilon} = m + \chi_{P_{arepsilon}} \Delta m$

Expansion of
$$\mathcal{J}$$
: $\mathcal{J}(\boldsymbol{m}_{\boldsymbol{\varepsilon}}) = \mathcal{J}(\boldsymbol{m}) + \boldsymbol{\varepsilon}^2 \, \mathcal{D} \mathcal{J} + o(\boldsymbol{\varepsilon}^2)$ as $\boldsymbol{\varepsilon} \to 0$

• $\mathcal{DJ}(\boldsymbol{m}; \boldsymbol{z}; \mathcal{P}, \Delta \mu, \Delta \rho)$: topological sensitivity (or gradient, or derivative) of \mathcal{J} .

If $\mathcal{DJ}(\boldsymbol{z}) < 0$, then $\mathcal{J}(\boldsymbol{m}_{\varepsilon}) < \mathcal{J}(\boldsymbol{m})$ and therefore \boldsymbol{z} is a good choice for a phase change !

• Chain rule for $\mathcal{J}(\boldsymbol{m}) = J(\boldsymbol{m}_{\text{eff}})$:

$$\mathcal{DJ} = rac{\partial J}{\partial oldsymbol{m}_{ ext{eff}}} \mathcal{D}oldsymbol{m}_{ ext{eff}}$$

 \implies Need to compute $(\mathcal{DB}, \mathcal{DC}, \mathcal{DS})$

Example : topological derivative of S



By definition :

$$\begin{vmatrix} \mathcal{S} = b + \frac{\rho_{i} - \rho_{m}}{\rho_{m}} |\Omega_{i}| \\ \mathcal{S}_{\varepsilon} = b + \frac{\rho_{i} - \rho_{m}}{\rho_{m}} |\Omega_{i}| + \frac{\Delta \rho}{\rho_{m}} |P_{\varepsilon}| \end{vmatrix}$$

Exact expansion:

$$\mathcal{S}_{\varepsilon} = \mathcal{S} + \varepsilon^2 \underbrace{\frac{\Delta \rho}{\rho_{\rm m}} |\mathcal{P}|}_{\mathcal{DS}}$$

Here \mathcal{DS} does not depend on z nor on the shape \mathcal{P} (*not* the general case).

Topological derivatives and polarization tensor

The topological derivatives are (see [Touboul, PhD thesis, Chap. 4, 2021]) :

$$\begin{split} \mathcal{DS}(\boldsymbol{m}, \boldsymbol{z}, \mathcal{P}, \Delta \boldsymbol{m}) &= \frac{\Delta \rho}{\rho_{\rm m}} |\mathcal{P}|, \\ \mathcal{DB}(\boldsymbol{m}, \boldsymbol{z}, \mathcal{P}, \Delta \boldsymbol{m}) &= -(\boldsymbol{\nabla} \Phi_1(\boldsymbol{z}) + \boldsymbol{e}_1) \cdot \boldsymbol{A}(\boldsymbol{z}) \cdot (\boldsymbol{\nabla} \Phi(\boldsymbol{z}) + \boldsymbol{I}) \\ \mathcal{DC}(\boldsymbol{m}, \boldsymbol{z}, \mathcal{P}, \Delta \boldsymbol{m}) &= (\boldsymbol{\nabla} \Phi_2(\boldsymbol{z}) + \boldsymbol{e}_2) \cdot \boldsymbol{A}(\boldsymbol{z}) \cdot (\boldsymbol{\nabla} \Phi(\boldsymbol{z}) + \boldsymbol{I}). \end{split}$$

depend on

- the cell solution gradient at perturbation point $abla \Phi(oldsymbol{z})$,
- the polarization tensor $\boldsymbol{A}(\boldsymbol{z}) = \boldsymbol{A}(\mathcal{P}, \mu(\boldsymbol{z}), \Delta \mu)$

Polarization tensor A:

- used in [Cedio-Fengya et al., 1998, Ammari and Kang, 2007] in similar context,
- also called *localization* or *concentration* tensor, related to Eshelby and Hill tensors in elasticity/micromechanics [Eshelby, 1957, Parnell, 2016],
- symmetric,
- known analytically for elliptic shapes of semiaxes lengths $(1,\gamma)$, and directions (n_1, n_2) :

$$\mathbf{A}^{\text{ellipse}}(\mu(\boldsymbol{z}), \Delta \mu) = \pi \gamma(\gamma + 1) \frac{\Delta \mu}{\mu(\boldsymbol{z})} \left(\frac{\boldsymbol{n}_1 \otimes \boldsymbol{n}_1}{1 + \gamma + \gamma \frac{\Delta \mu}{\mu(\boldsymbol{z})}} + \frac{\boldsymbol{n}_2 \otimes \boldsymbol{n}_2}{1 + \gamma + \frac{\Delta \mu}{\mu(\boldsymbol{z})}} \right)$$

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Numerical validation

• Leading-order approximation of homogenized coefficients e.g.

$$\boldsymbol{\mathcal{B}}_{\boldsymbol{\varepsilon}} = \boldsymbol{\mathcal{B}} + \boldsymbol{\varepsilon}^2 \boldsymbol{\mathcal{D}} \boldsymbol{\mathcal{B}}(\boldsymbol{z}) + o(\boldsymbol{\varepsilon}^2)$$

• Computation of relative error e.g. :

$$\frac{\left|\mathcal{B}_{1,\varepsilon} - [\mathcal{B}_1 + \varepsilon^2 \mathcal{D} \mathcal{B}_1]\right|}{|\mathcal{B}_{1,\varepsilon}|}$$

(should be $o(\varepsilon^2)$, expected at least $O(\varepsilon^3)$)



(term in ε^3 vanishes, should be true for any centrally-symmetric shape \mathcal{P} [Bonnet, 2009])

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Approximative effective coefficients for elliptic inclusions

Particular case: one inclusion P_{ε} in an homogeneous cell ($\Phi = 0$)

$$\mathcal{S} = b + \varepsilon^2 \frac{\Delta \rho}{\rho_{\rm m}} |\mathcal{P}|, \quad \mathcal{B}_1 = b - \varepsilon^2 A_{11} + o(\varepsilon^2), \quad \mathcal{B}_2 = -\varepsilon^2 A_{12} + o(\varepsilon^2), \quad \mathcal{C}_2 = b + \varepsilon^2 A_{22} + o(\varepsilon^2).$$

- Analytical expressions when A is known
- Example : ellipse tilted at 40° , semi-axes (ε , 0.2ε):



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Level-set representation and projection algorithm

[Amstutz and Andrä, 2006, Amstutz, 2011]

• Material distribution at iteration n represented by a level-set function $\psi^n\colon$

$$(\star) \begin{cases} \psi^n > 0 & \text{ in } Y_1 \\ \psi^n < 0 & \text{ in } Y_2 \end{cases} \quad \text{ and } \quad \|\psi^n\|_{L^2(Y)} = 1$$

• Signed and normalized TD $\overline{\mathcal{D}}\mathcal{J}$ (here for $\mathcal{P} = \text{disk}$):

$$\overline{\mathcal{D}}\mathcal{J} := \begin{cases} \mathcal{D}\mathcal{J}/\|\mathcal{D}\mathcal{J}\|_{L^2(Y)} & \text{ in } Y_1 \\ -\mathcal{D}\mathcal{J}/\|\mathcal{D}\mathcal{J}\|_{L^2(Y)} & \text{ in } Y_2 \end{cases} \quad \text{ so that } \quad \|\overline{\mathcal{D}}\mathcal{J}\|_{L^2(Y)} = 1 \end{cases}$$



Optimality condition: If $\overline{\mathcal{D}}\mathcal{J}$ satisfies the sign condition (\star) then $\mathcal{D}\mathcal{J}(\boldsymbol{z}) > 0 \quad \forall \boldsymbol{z} \in Y$ then \mathcal{J} reached a local minimum

Update of ψ by **projection** onto $\overline{\mathcal{D}}\mathcal{J}$:

$$\psi^{n+1} = \mathbf{a_n}\psi^n + \mathbf{b_n}\overline{\mathcal{D}}\mathcal{J}(\psi^n)$$

 (a_n, b_n) are chosen so that $\|\psi^{n+1}\|_{L^2(Y)} = 1$ and $\mathcal{J}(\psi^{n+1}) < \mathcal{J}(\psi^n)$

A first test [Touboul, Ph.D. Thesis, 2021 (Chap. 4)]

- Goal : minimization of the effective reflexion coefficient \mathcal{R} for an incident angle $\theta_{I} = \pi/4$
 - ▶ Define $\mathcal{R}(\boldsymbol{m}_{\mathrm{eff}}, \boldsymbol{\theta}_{\mathrm{I}})$ with $\boldsymbol{m}_{\mathrm{eff}} = (\boldsymbol{\mathcal{B}}, \boldsymbol{\mathcal{C}}, \boldsymbol{\mathcal{S}})$
 - Compute the sensibilities (DB, DC, DS)
- Two-phase material: (1) matrix and (2) inclusion.
- Volume constraint on inclusion phase Y_2 in the unit cell: $V_C = 0.6$
- Perimiter penalization following [Amstutz, 2013] to get smooth final configurations

$$\mathcal{J} = |\mathcal{R}|^2 + \lambda \left(rac{|Y_2|}{V_C} - 1
ight)^2 + lpha_{ ext{per}} ext{Per}(Y_2)$$
 (λ : iteratively chosen weight)



Attenuated scattered field

• Numerical experiments : for a pulse emitted at a source point, measure the energy of the scattered field by the interface:



• "Attenuated direction" linked to the effective transmission coefficient T computed for a plane wave with wavenumber k and incident angle θ_1 :

$$\mathcal{T}(\boldsymbol{\theta}_{\mathbf{I}}) = 1 + i(k\ell)\mathcal{T}_{1}(\boldsymbol{m}_{\text{eff}}, \boldsymbol{\theta}_{\mathbf{I}}) + O((k\ell)^{2})$$

"Attenuated direction" at θ_{\min} when $\mathcal{T}_1(\boldsymbol{m}_{\mathrm{eff}}, \boldsymbol{\theta}_{\mathrm{I}})$ changes sign at $\boldsymbol{\theta}_{\mathrm{I}} = \theta_{\min}$.

• Main cost functional:

$$\mathcal{J}_{ ext{main}}(oldsymbol{m}_{ ext{eff}}) = \left(rac{\mathcal{T}_{1}(oldsymbol{m}_{ ext{eff}}, heta_{ ext{min}})}{\partial_{ heta}\mathcal{T}_{1}(oldsymbol{m}_{ ext{eff}}, heta_{ ext{min}})}
ight)^{2} \quad ext{with} \quad \partial_{ heta}\mathcal{T}_{1} = rac{\partial\mathcal{T}_{1}}{\partial heta_{ ext{I}}}$$

Results for $\theta_{\min} = 0$, $V_C = 0.2$, perimeter penalisation

Initialisations:

ellipse at 45°



"Optimal" ellipse (almost) analytical optimisation based on approximative $m_{
m eff}$



Performances for for $\theta_{\min} = 0$, $V_C = 0.2$

	$N_{\rm iter}$	V end	${\mathcal J}$ init.	${\mathcal J}$ end	$\mathcal{J}_{ ext{main}}$ init.	$\mathcal{J}_{ ext{main}}$ end
ellipse	103	0.15	1.21	$2.24 \cdot 10^{-1}$	$9.72 \cdot 10^{-1}$	$1.4 \cdot 10^{-2}$
random	82	0.16	$5.23 \cdot 10^{3}$	$1.93 \cdot 10^{-1}$	$5.23 \cdot 10^{3}$	$7.2 \cdot 10^{-3}$
optimal ellipse	60	0.14	$1.02 \cdot 10^{-1}$	$6.76 \cdot 10^{-2}$	$3.61 \cdot 10^{-1}$	$2.1 \cdot 10^{-3}$

Results for $heta_{\min}=\pi/4$, $V_C=0.3$, perimeter penalisation

Initialisations:



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Performances for $heta_{\min}=\pi/4$, $V_C=0.3$:

	$N_{ m iter}$	V end	${\mathcal J}$ init.	${\mathcal J}$ end	$\mathcal{J}_{ ext{main}}$ init.	$\mathcal{J}_{ ext{main}}$ end
ellipse	49	0.30	1.51	$2.84 \cdot 10^{-2}$	1.40	$9.72 \cdot 10^{-5}$
random	52	0.24	$2.03 \cdot 10^{-1}$	$1.35 \cdot 10^{-1}$	$2.11 \cdot 10^{-4}$	$7.99 \cdot 10^{-4}$
optimal ellipse	28	0.28	$6.27 \cdot 10^{-2}$	$2.96 \cdot 10^{-2}$	$3.30 \cdot 10^{-3}$	$8.76 \cdot 10^{-5}$

Conclusions

- A topological optimization procedure is proposed, combining
 - Effective model obtained via two-scale asymptotic homogenization
 - FFT-based algorithms to solve cell problems
 - Approximative effective coefficients (for ellipses, using topological derivatives) \Rightarrow Help to find specific initialisations.
 - Level-set, TD-based, projection algorithm (with volume and perimeter constraints)
- The procedure is applied to achieve
 - Minimal reflexion under volume constraint.
 - Attenuation of scattered energy in chosen direction.

Perspectives

- Time-domain simulations of waves in the designed materials
- Extensions to other other physics and regimes:
 - 1. Elasticity
 - 2. Resonant interfaces with high-contrast inclusions [Pham et al., 2017, Touboul et al., 2020] [Nicolas Lebbe, hier]
- Improve the optimization algorithm
 - Couple shape and topological derivative [Allaire et al., 2005, Amstutz et al., 2018]
 - Use optimized FFT solvers.

Merci pour votre attention !

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 FFT-based computation of homogenized interface parameters Rémi Cornaggia, Marie Touboul & Cédric Bellis Comptes Rendus Mécanique, 2022

• Marie Touboul's Ph.D. :

Acoustic and elastic wave propagation in microstructured media with interfaces: homogenization, simulation and optimization

https://tel.archives-ouvertes.fr/tel-03411353

 Topological sensitivity-based analysis and optimization of microstructured interfaces Marie Touboul, Rémi Cornaggia & Cédric Bellis Hopefully on HAL before summer ...

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